

# Machine Learning applied to Natural Language Processing

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Advanced Methods for Corpus Processing  
UPV/EHU Master: Analysis and Processing of Language  
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[www.lsi.upc.edu/~lluism/tmp/MLinNLP.zip](http://www.lsi.upc.edu/~lluism/tmp/MLinNLP.zip)

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- Introduction and Motivation **(8%, 30m)**
- Machine Learning for supervised classification **(15%, 1h)**

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    - \* Decision trees
    - \* AdaBoost
    - \* Linear classifiers
    - \* Support Vector Machines
  - ★ Applications
    - \* POS tagging
    - \* Document categorization

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    - \* Learning and Inference
    - \* Re-ranking
    - \* Global Linear Models
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    - \* Named entity recognition and relation extraction
    - \* Clause splitting
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- A case study: Semantic Role Labeling (**~22%, 1h30m**)

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# Natural Language Processing Applications

- Typical Applications (among others):
  - ★ Machine Translation
  - ★ *Intelligent* Information Retrieval and document management
  - ★ Information Extraction
  - ★ Question&Answering
  - ★ Document Summarization (multidocument, multilingual)
  - ★ Dialog Systems

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  - ★ Document Summarization (multidocument, multilingual)
  - ★ Dialog Systems
- Different levels of linguistic knowledge/comprehension are required
- They need to resolve a number of basic subproblems

# Natural Language Processing Problems<sub>(1)</sub>

## Part-of-Speech Tagging

The San Francisco Examiner issued a special edition around noon yesterday that was filled entirely with earthquake new and information.

# Natural Language Processing Problems<sub>(1)</sub>

## Part-of-Speech Tagging

The<sub>DT</sub> San<sub>NNP</sub> Francisco<sub>NNP</sub> Examiner<sub>NNP</sub> issued<sub>VBD</sub> a<sub>DT</sub> special<sub>JJ</sub> edition<sub>NN</sub> around<sub>IN</sub> noon<sub>NN</sub> yesterday<sub>NN</sub> that<sub>WDT</sub> was<sub>VBD</sub> filled<sub>VBN</sub> entirely<sub>RB</sub> with<sub>IN</sub> earthquake<sub>NN</sub> news<sub>NN</sub> and<sub>CC</sub> information<sub>NN</sub> ...

# Natural Language Processing Problems<sub>(1)</sub>

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POS tagging is a pure sequential labeling problem

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(sequential learning paradigm)

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But... are really words ambiguous with respect to POS?

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But... are really words ambiguous with respect to POS?

Let's take a look at a free on-line demo: **FreeLing**

<http://nlp.lsi.upc.edu/freeling/demo/demo.php>

# Natural Language Processing Problems<sup>(2)</sup>

## Syntactic Analysis (Parsing)

Pierre Vinken, 61 years old, will join the board as a nonexecutive director Nov. 29.

# Natural Language Processing Problems<sub>(2)</sub>

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Pierre Vinken, 61 years old, will join the board as a nonexecutive director Nov. 29.

```
((S (NP-SBJ
  (NP (NNP Pierre) (NNP Vinken) )
  ( , , )
  (ADJP
    (NP (CD 61) (NNS years) )
    (JJ old) )
  ( , , )
  (VP (MD will)
    (VP (VB join)
      (NP (DT the) (NN board) )
      (PP-CLR (IN as)
        (NP (DT a) (JJ nonexecutive) (NN director) ))
      (NP-TMP (NNP Nov.) (CD 29) )))
  ( . . ) ))
```

# Natural Language Processing Problems<sup>(3)</sup>

## Shallow Parsing (Chunking)

He reckons the current account deficit will narrow to only 1.8 billion in September.

# Natural Language Processing Problems<sup>(3)</sup>

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[NP He ] [VP reckons ] [NP the current account deficit ] [VP will narrow ] [PP to ] [NP only 1.8 billion ] [PP in ] [NP September ] .

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Chunking is a sequential phrase recognition task

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Chunking is a sequential phrase recognition task

It can be seen as a sequential labeling problem (B-I-O encoding)

He\_**B-NP** reckons\_**B-VP** the\_**B-NP** current\_**I-NP** account\_**I-NP**  
deficit\_**I-NP** will\_**B-VP** narrow\_**I-VP** to\_**B-PP** only\_**B-NP** 1.8\_**I-NP**  
billion\_**I-NP** in\_**B-PP** September\_**B-NP** .\_**O**

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billion\_**I-NP** in\_**B-PP** September\_**B-NP** .\_**O**

this is simple, but...

# Natural Language Processing Problems<sub>(4)</sub>

## Clause splitting (partial parsing)

The deregulation of railroads and trucking companies that began in 1980 enabled shippers to bargain for transportation.

# Natural Language Processing Problems<sub>(4)</sub>

## Clause splitting (partial parsing)

(S The deregulation of railroads and trucking companies (SBAR that (S began in 1980) ) enabled (S shippers to bargain for transportation) . )

# Natural Language Processing Problems<sub>(4)</sub>

## Clause splitting (partial parsing)

(S The deregulation of railroads and trucking companies  
    (SBAR that  
        (S began in 1980 ))  
    enabled  
    (S shippers to bargain for transportation)  
    .)

Clauses may embed: they form a hierarchy

# Natural Language Processing Problems<sub>(4)</sub>

## Clause splitting (partial parsing)

(S The deregulation of railroads and trucking companies  
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Clauses may embed: they form a hierarchy

Clause splitting is a hierarchical phrase recognition problem

# Natural Language Processing Problems<sub>(4)</sub>

## Clause splitting (partial parsing)

(S The deregulation of railroads and trucking companies  
  (SBAR that  
    (S began in 1980 ))  
  enabled  
  (S shippers to bargain for transportation)  
  .)

Clauses may embed: they form a hierarchy

Clause splitting is a hierarchical phrase recognition problem

Not a good idea to treat it as a sequential problem...

# Natural Language Processing Problems<sub>(5)</sub>

## Semantic Role Labeling (shallow semantic parsing)

He wouldn't accept anything of value from those he was writing about.

# Natural Language Processing Problems<sub>(5)</sub>

## Semantic Role Labeling (shallow semantic parsing)

[**A<sub>0</sub>** He] [**AM-MOD** would] [**AM-NEG** n't] [**V** **accept**] [**A<sub>1</sub>** anything of value] from [**A<sub>2</sub>** those he was writing about] .

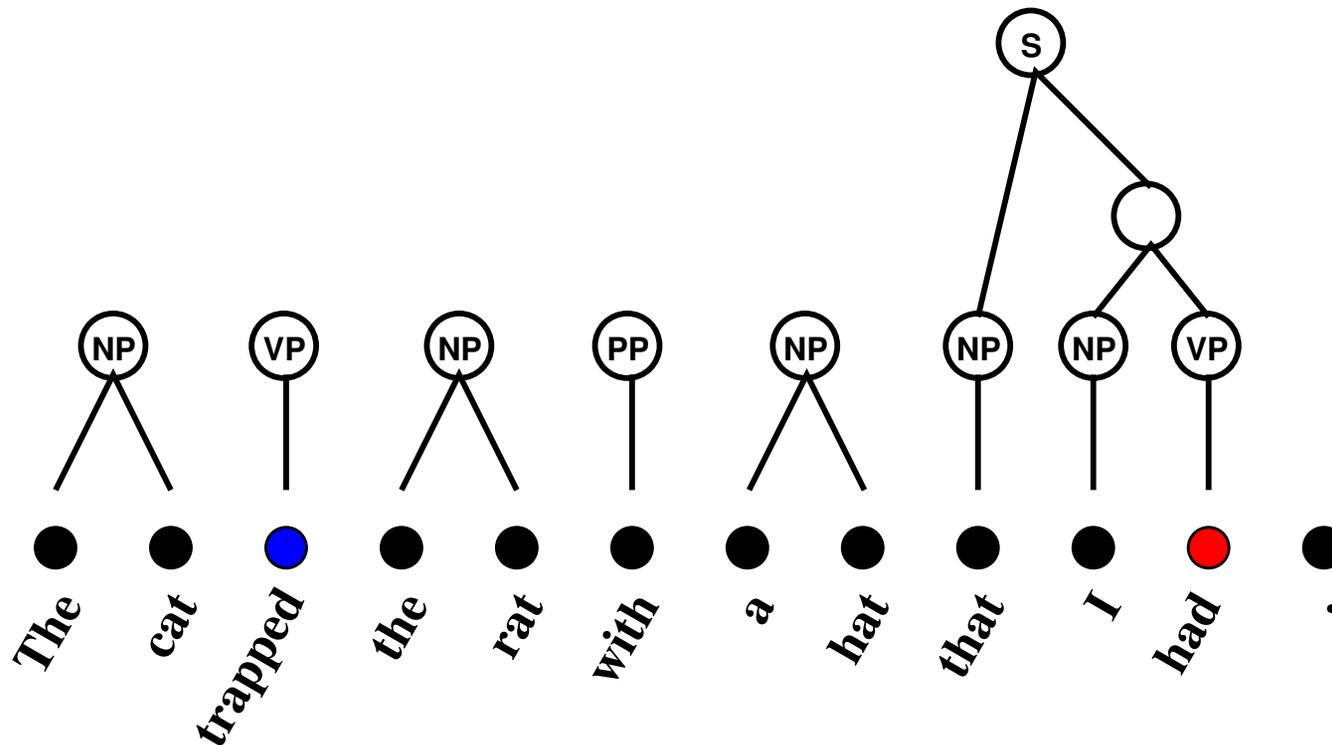
Roles for the predicate **accept** (PropBank Frames scheme):

**V**: verb; **A<sub>0</sub>**: acceptor; **A<sub>1</sub>**: thing accepted; **A<sub>2</sub>**: accepted-from;  
**A<sub>3</sub>**: attribute; **AM-MOD**: modal; **AM-NEG**: negation;

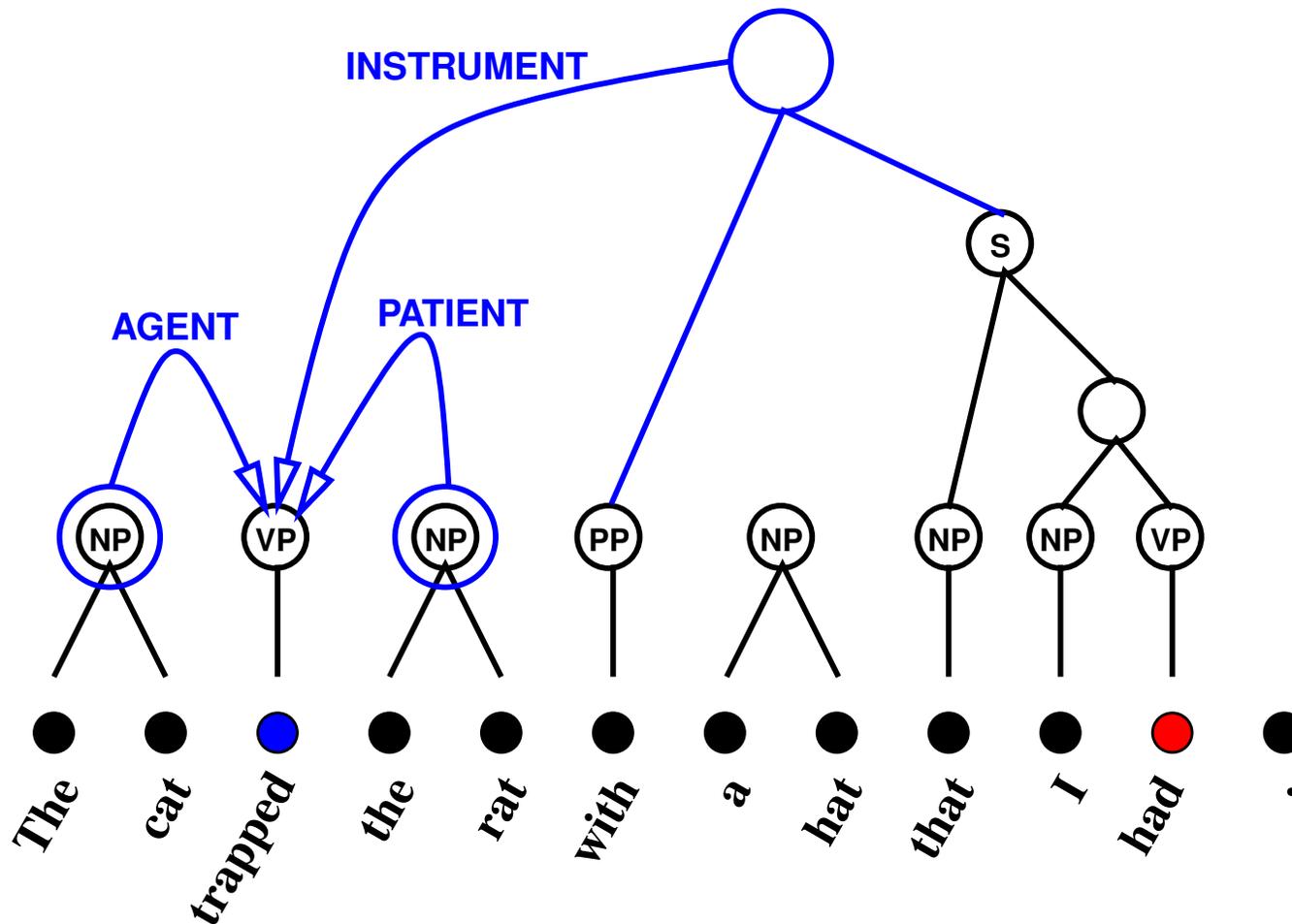
# Natural Language Processing Problems<sup>(5)</sup>

● ● ● ● ● ● ● ● ● ● ●  
*The cat trapped the rat with a hat that I had .*

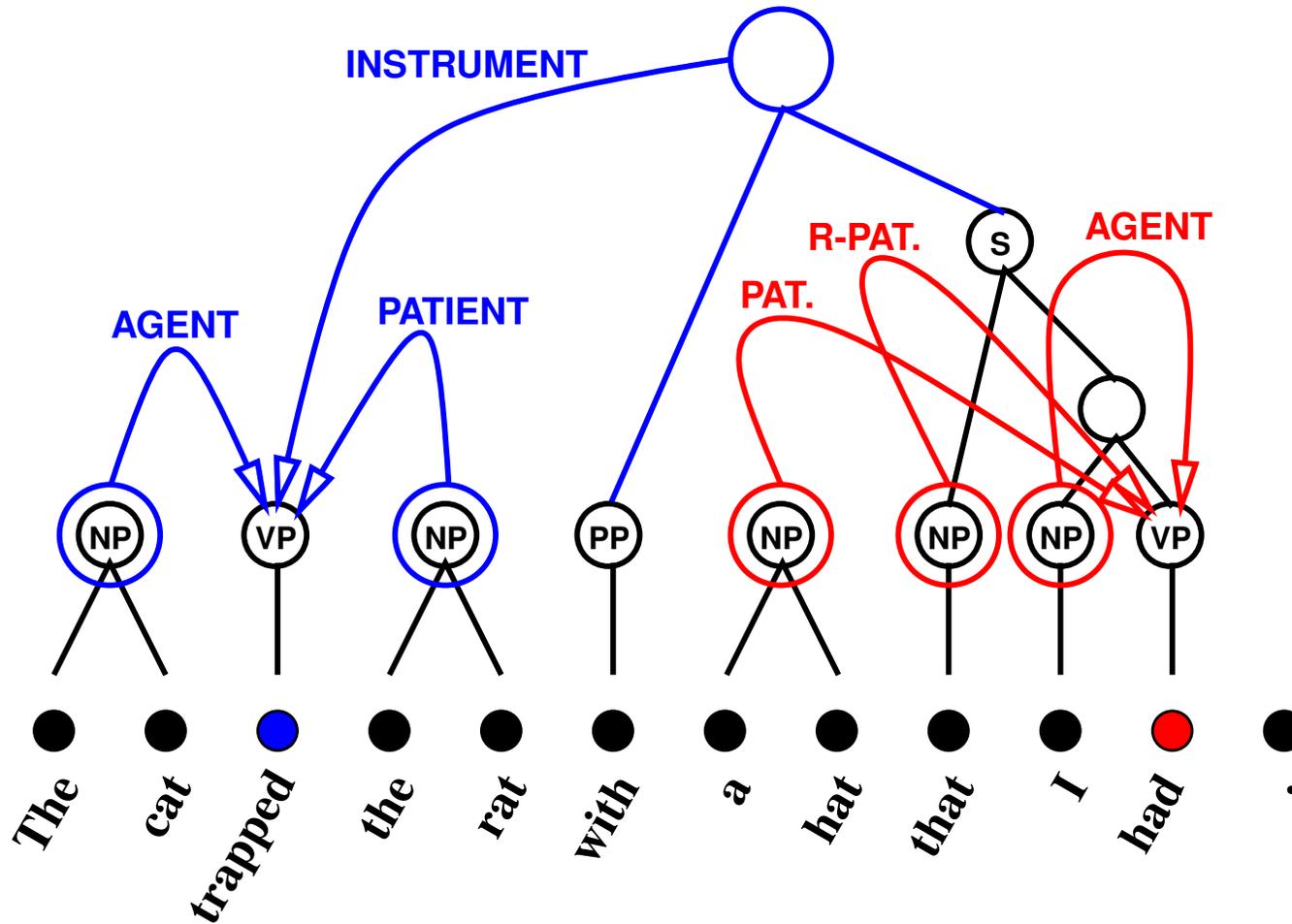
# Natural Language Processing Problems<sub>(5)</sub>



# Natural Language Processing Problems<sup>(5)</sup>



# Natural Language Processing Problems<sub>(5)</sub>



# Natural Language Processing Problems<sup>(6)</sup>

## Named Entity Extraction (“semantic chunking”)

Wolff, currently a journalist in Argentina, played with Del Bosque in the final years of the seventies in Real Madrid.

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## Named Entity Extraction (“semantic chunking”)

[**PER** Wolff ] , currently a journalist in [**LOC** Argentina ] , played with [**PER** Del Bosque ] in the final years of the seventies in [**ORG** Real Madrid ] .

- Named Entities may be embedded
- NE tracing: variants and co-reference resolution
- Relations between entities: event extraction

# Natural Language Processing Problems<sup>(6)</sup>

## Named Entities, relations, events, etc.

(example from the ACE corpus)

LOS ANGELES, April 18 (AFP)

Best-selling novelist and "Jurassic Park" creator Michael Crichton

has agreed to pay his fourth wife 31 million dollars as part of their divorce settlement, court documents showed Friday.

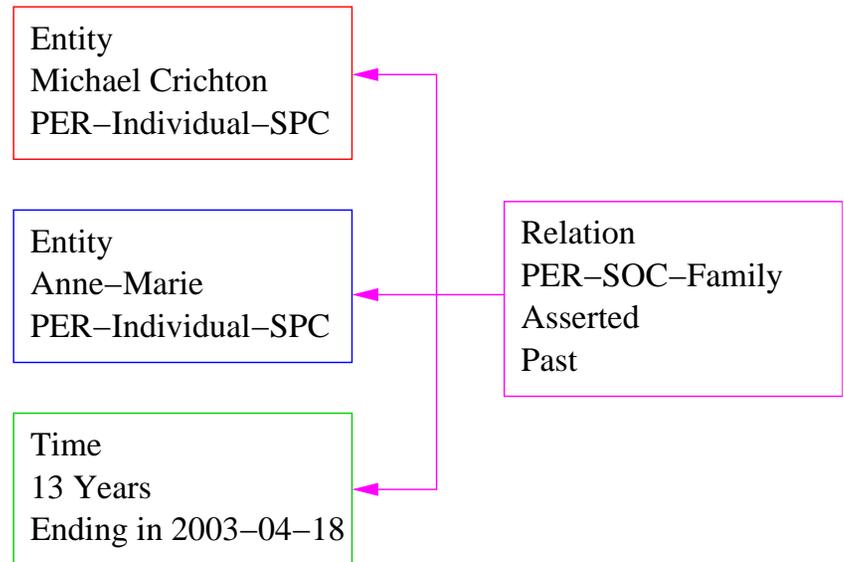
Crichton, 60, is one of the world's wealthiest authors, and has had 12 of his novels made into major Hollywood movies.

The writer will retain the rights to his books and films, although he

has agreed to split a raft of other possessions with Anne Marie, his

wife of 13 years, according to documents filed in Los Angeles

Superior Court.



# Natural Language Processing Problems

## Summary:

- Mapping from an input to an output structure
  - ★ The input structure is typically a sequence of words enriched with some linguistic information.
  - ★ Output structures are sequences, trees, graphs, etc.

## Relation to Machine Learning

- 1980's resurgence of the empirical paradigm for NLP
- 1990's massive application of Machine Learning techniques
- **Important factor** (among others):

★ Ambiguity resolution can be directly casted as classification

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- Thus, we know very well how to model and learn local decisions
- But... there is a big gap in between pure classification and structure learning/generation. Pure classification tasks don't really exist!
- Search is strongly related to the generation of the output structure (*decoding, inference, etc.*)

# Main Challenges

## ...of the learning approach to structured NLP:

- Put learning at the level of the structure and design features accordingly
- Make **computationally efficient** learning and decoding algorithms
- Avoid locality: **global** (and coupled) learning and decoding
- Increase output complexity

# Opportunities for the future

## ...of this new technology:

- Exploit linguistically rich features and complex dependencies
- Surpass the traditional NLP architecture of a pipeline of processors
- Approach multitask learning
- Design intermediate structures to learn, which are optimal for the global performance

# Why applying Machine Learning?

- **Low cost** development of linguistic processors
- Language (quasi)independence: **reusability**
- Ability of **acquiring/discovering knowledge** from very large datasets
- **Assist manual development** of linguistic resources

## On-line Demos in the Web

- **FreeLing**. Universitat Politècnica de Catalunya. Basic syntactic processing. Catalan and Spanish.  
<http://nlp.lsi.upc.edu/freeling/demo/demo.php>
- **CCG tools**. University of Illinois at Urbana-Champaign. Multiple processors and applications. English.  
<http://l2r.cs.uiuc.edu/~cogcomp/demos.php>

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# Machine Learning for Supervised Classification

1. **Supervised Learning for Classification:** definitions and concepts
2. **Machine learning algorithms:** decision tree induction, boosting, linear classifiers, support vector machines
3. **Applications:** POS tagging, document categorization
  - All three previous points are covered by the complementary slides on supervised learning and algorithms (in PowerPoint)

## A brief note on features

- The learning algorithm deals with a **representation** of training examples  $x$
- Feature codification function:  $\Phi : \mathcal{X} \longrightarrow \mathbb{R}^n$
- $\Phi(x)$  is a vector of features, with values in  $\mathbb{R}$ .
- Basic feature codification for NLP local decision problems follow the **sliding window approach**: codification of the local context.

## Extracting features: Sliding Window

... veí del carrer **Santa Tecla** <sup>?</sup> de **Girona** , **Josep** ...

# Extracting features: Sliding Window

?

... veí del carrer Santa Tecla de Girona , Josep ...

	-3	-2	-1	0	+1	+2	+3
<b>Form</b>	del	carrer	santa	tecla	de	girona	,
<b>PoS</b>	contr	n	adj	n	prep	n	,
<b>Orto</b>	min	min	Maj	Maj	min	Maj	punct
<b>Prefix3</b>	del	car	san	tec	de	gir	,
<b>BIO</b>	O	O	B				

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**form@-2=carrer**

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**form@-2=carrer**

**pos@+1=prep**

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form@-2=carrer

orto@-1:0=MajMaj

pos@+1=prep

# Extracting features: Sliding Window

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Orto	min	min	<b>Maj</b>	<b>Maj</b>	min	Maj	punct
Prefix3	del	car	san	tec	de	gir	,
BIO	O	<b>O</b>	<b>B</b>				

form@-2=carrer

orto@-1:0=MajMaj

pos@+1=prep

bio@-2:-1=OB

# Snapshot of a codified example set<sub>(1)</sub>

Confidence in the pound is widely expected to take another sharp dive ...

**B-NP** pos(+1):IN pos(-1):[OSB] pos(-1,0):[OSB]-NN pos(-1,0,+1):[OSB]-NN-IN pos(0):NN

pos(0,+1):NN-IN w(+1):in w(-1):[OSB] w(-1,0):[OSB]-Confidence

w(-1,0,+1):[OSB]-Confidence-in w(0):Confidence w(0,+1):Confidence-in

**B-PP** pos(+1):DT pos(-1):NN pos(-1,0):NN-IN pos(-1,0,+1):NN-IN-DT pos(0):IN

pos(0,+1):IN-DT w(+1):the w(-1):Confidence w(-1,0):Confidence-in w(-1,0,+1):Confidence-in-the

w(0):in w(0,+1):in-the

**B-NP** pos(+1):NN pos(-1):IN pos(-1,0):IN-DT pos(-1,0,+1):IN-DT-NN pos(0):DT

pos(0,+1):DT-NN w(+1):pound w(-1):in w(-1,0):in-the w(-1,0,+1):in-the-pound w(0):the

w(0,+1):the-pound

**I-NP** pos(+1):VBZ pos(-1):DT pos(-1,0):DT-NN pos(-1,0,+1):DT-NN-VBZ pos(0):NN

pos(0,+1):NN-VBZ w(+1):is w(-1):the w(-1,0):the-pound w(-1,0,+1):the-pound-is w(0):pound

w(0,+1):pound-is

**B-VP** pos(+1):RB pos(-1):NN pos(-1,0):NN-VBZ pos(-1,0,+1):NN-VBZ-RB pos(0):VBZ

pos(0,+1):VBZ-RB w(+1):widely w(-1):pound w(-1,0):pound-is w(-1,0,+1):pound-is-widely

w(0):is w(0,+1):is-widely

...

# Snapshot of a codified example set<sup>(2)</sup>

## Numerical codification:

**B-NP** 1:1 6:1 31:1 33:1 41:1 84:1 559:1

**B-PP** 2:1 4:1 12:1 25:1 40:1 48:1 86:1 117:1 244:1

**B-NP** 3:1 5:1 11:1 27:1 29:1 47:1 83:1 85:1 243:1 2563:1 5741:1

**I-NP** 1:1 10:1 26:1 28:1 77:1 183:1 194:1 374:1 2714:1 5295:1

**B-VP** 2:1 68:1 76:1 185:1 192:1 420:1 774:1 2617:1 6501:1

**I-VP** 58:1 74:1 75:1 184:1 415:1 432:1 1214:1 1545:1 7769:1

**I-VP** 57:1 64:1 65:1 73:1 399:1 427:1 1251:1 2108:1 2827:1 6849:1

**I-VP** 56:1 63:1 67:1 72:1 100:1 396:1 547:1 1230:1 2022:1 2062:1 4471:1

**I-VP** 12:1 55:1 62:1 66:1 99:1 232:1 368:1 2102:1 2209:1 4234:1

**B-NP** 11:1 15:1 54:1 82:1 230:1 763:1 2056:1 2357:1 3362:1

...

# Snapshot of a codified example set<sub>(3)</sub>

Through a “dictionary” of features:

1 pos(0):NN 3208  
2 pos(-1):NN 3206  
3 pos(+1):NN 3168  
4 pos(0):IN 2307  
5 pos(-1):IN 2305  
6 pos(+1):IN 2196  
7 pos(0):NNP 2064  
8 pos(-1):NNP 2062  
9 pos(+1):NNP 1876  
10 pos(-1):DT 1859  
...  
28 pos(-1,0):DT-NN 956  
29 pos(0,+1):DT-NN 956  
...

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# Supervised Machine Learning

- Given:
  - ★ A **training set**, with examples  $(x, y)$  where
    - \*  $x \in \mathcal{X}$  could be sentences
    - \*  $y \in \mathcal{Y}$  could be linguistic structures
    - \* We assume that the set was generated i.i.d. from an unknown distribution  $\mathcal{D}$  over  $\mathcal{X} \times \mathcal{Y}$
  - ★ An **error function**, or loss :  
 $\text{error}(y, \hat{y}) = \text{cost of proposing } \hat{y} \text{ when the correct value was } y$
- **Goal**: learn a hypothesis

$$h : \mathcal{X} \rightarrow \mathcal{Y}$$

that minimizes error on the entire distribution  $\mathcal{D}$

# Scenarios in Machine Learning

A general form of learning hypothesis:

$$h(x) = \arg \max_{\hat{y} \in \mathcal{Y}} \text{score}(x, \hat{y})$$

Depending on the output space  $\mathcal{Y}$ :

	Classes ( $\mathcal{Y}$ )	$ \mathcal{Y} $	enumeration of $\mathcal{Y}$	error
<b>Binary Classification</b>	$\{+, -\}$	1	not needed	0-1
<b>Multiclass Classification</b>	$\{C_1, C_2, \dots, C_m\}$	$m$	exhaustive	0-1
<b>Structure Learning</b>	all structures	exponential	not tractable	prec/rec on nodes

# Structure Learning: Learning & Inference

- $\mathcal{Y}(x)$  is exponential on the size of  $x$
- Not possible to exhaustively enumerate the output space
- Learning & Inference approach:
  - ★ **Key Idea**: decompose a structure into fragments
  - ★ Model: scores a structure by scoring its fragments
  - ★ Inference: search in  $\mathcal{Y}(x)$  for the best scored solution for  $x$ 
    - \* Build incrementally, instead of explore exhaustively
    - \* Use automata, grammars, . . . to build the solution
    - \* Use constraints to discard regions of  $\mathcal{Y}(x)$

# Course Overview

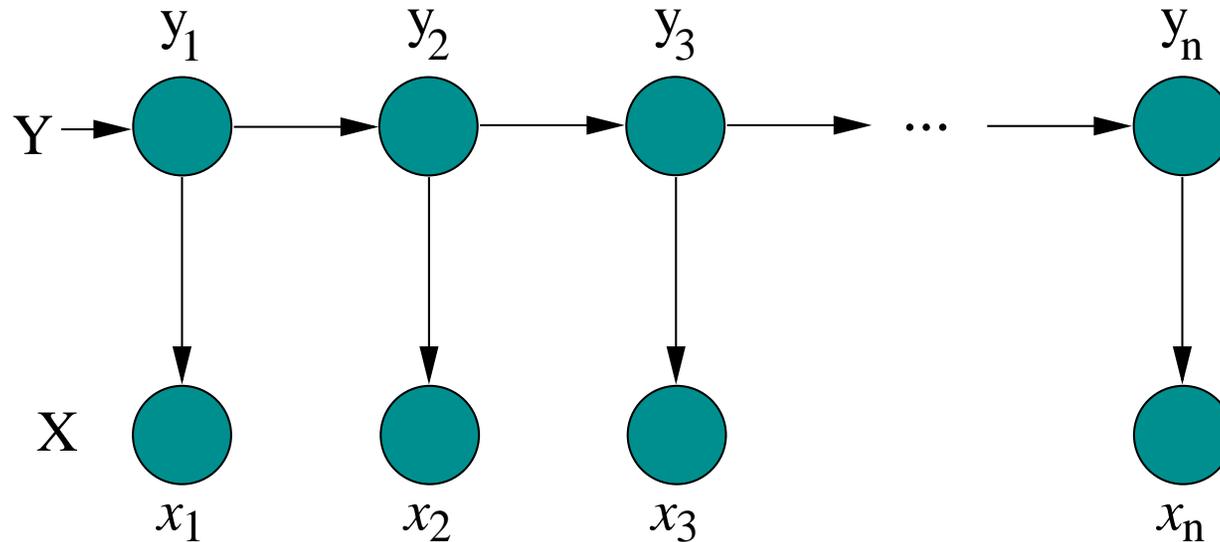
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  - ★ The Learning and Inference paradigm
  - ★ Re-ranking candidate solutions
  - ★ Structure learning with global linear models
- A case study: Semantic Role Labeling

# Generative Learning: Models

- Probabilistic models that define a joint probability distribution of the data:  $p(\mathcal{X}, \mathcal{Y})$ .
- The model is associated to a stochastic **generation mechanism** of the data, such as an automaton or grammar
- The graphical model underlying the generative mechanism is topologically sorted so as  $\mathcal{X}$  variables never precede  $\mathcal{Y}$  variables
- For computational reasons one needs to define very restrictive simplifying assumptions on the generative process

# Generative Learning: Models

Graphical Model corresponding to a HMM



- Paradigmatic models to recognize structure:
  - ★ Hidden Markov Models, e.g. **[Rabiner 89]**
  - ★ Probabilistic Context-Free Grammars, e.g. **[Collins 99]**

# Generative Learning: Max-Likelihood Estimation

- Based on theory of probability and Bayesian learning:
- Training: via Maximum Likelihood, i.e., simple counts on the training data (very fast; but smoothing is needed)
- Inference Algorithms: efficient algorithms using dynamic programming e.g., Viterbi, CKY, etc.

## Generative Models: HMM's

- Generation mechanism: probabilistic automaton with outputs
- Sequences of observations:  $\{x_1, \dots, x_n\}$  and states  $\{y_1, \dots, y_n\}$
- Assumptions: limited horizon (Markov order)  
 $x_i$  only depends on  $y_i$

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$$\arg \max_{y_1, \dots, y_n} \frac{P(x_1, \dots, x_n | y_1, \dots, y_n) \cdot P(y_1, \dots, y_n)}{P(x_1, \dots, x_n)} \approx$$

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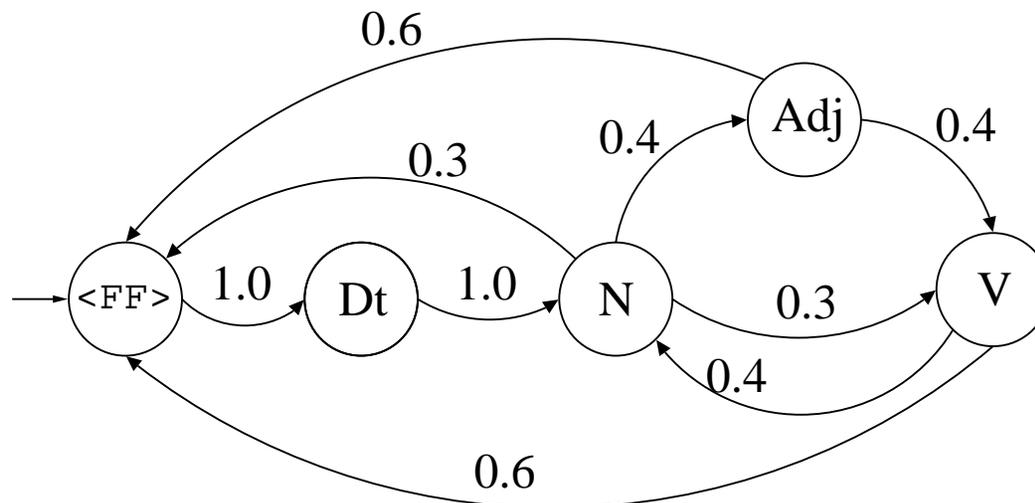
$$\arg \max_{y_1, \dots, y_n} \prod_{k=1}^n P(y_k | y_{k-2}, y_{k-1}) \cdot P(x_k | y_k)$$

## Generative models: HMM's

- $\arg \max_{y_1, \dots, y_n} \prod_{k=1}^n P(y_k | y_{k-2}, y_{k-1}) \cdot P(x_k | y_k)$
- We need to estimate the following probability distributions:
  - ★ **emission probabilities:**  $P(x_k | y_k)$
  - ★ **transition probabilities:**  $P(y_k | y_{k-2}, y_{k-1})$  (second order HMM)
  - ★ **initial state probabilities:**  $P(y_1)$
- Viterbi algorithm allows to calculate the  $\arg\_max$  in  $O(n)$
- But there is a practically important constant factor:  
 $MarkovOrder \times |States|$

# Generative Models: HMM example

States and transition probabilities (first order HMM)



## Emission

probabilities	.	el	la	gato	niña	come	corre	pescado	fresco	pequeña	grande
<FF>	1.0										
Dt		0.6	0.4								
N				0.6	0.1			0.3			
V						0.7	0.3				
Adj									0.3	0.3	0.4

# Generative Learning: example on NER

- **IdentiFinder<sup>TM</sup> [Bikel, Schwartz and Weischedel 1999]**
- An HMM-based system for Named Entity Recognition, used at MUC conferences
- See complementary slides on IdentiFinder<sup>TM</sup> (in PowerPoint)

# Generative Learning: Pros and Cons

## Advantages

- Flexibility to represent complex structures as generative processes
- Under certain simplifying assumptions:
  - ★ Simplicity of the training process: fast parameter estimation
  - ★ Very efficient decoding algorithms exist

# Generative Learning: Pros and Cons

## Problems

- Training/decoding on complex generative settings is not feasible
- Strong independence assumptions are needed: not necessary in accordance with data

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    - \* Exact decoding may be computationally intractable
  - ★ Feature specialization is possible but in a limited way

## Alternative: Direct, Discriminative Learning

- ML methods that directly model  $p(\mathcal{Y}|\mathcal{X})$
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  - ★ Maximum Entropy
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  - ★ Transformation-based
  - ★ Perceptron, Neural Nets
  - ★ AdaBoost
  - ★ Support Vector Machines
  - ★ . . .

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  - ★ . . .
- Next, we study how to use these algorithms in structure learning

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# Learning and Inference: General Approach

- Transform the recognition problem into a chain of *simple* decisions:
  - ★ Segmentation Decisions:  
e.g., Open-Close, Begin-Inside-Outside, Shift-Reduce, etc.
  - ★ Labeling Decisions: made during segmentation or afterwards
  - ★ Decisions might use the output of earlier steps in the chain
- Set up an inference strategy:
  - ★ Decisions are applied in chain to build structure incrementally
  - ★ Exploration might be at different levels of amplitude:  
e.g., greedy, dynamic programming, beam search, etc.
- Learn a prediction function for each decision

## Learning & Inference: Local vs. Global Training

- Local training: each local function is trained separately, as a classifier (binary or multiclass)
  - ★ Good understanding on learning classifiers
  - ★ *but* local accuracies do not guarantee global accuracy
  - ★ *that is*, a local classification behavior might not be the optimal within inference
  - ★ *unless* local classifications are perfect
- Global training: train the recognizer as a composed function
  - ★ Local functions are trained dependently to optimize global accuracy. **More on this later**
  - ★ e.g., Linear models [**Collins 02,04**], CRFs [**Lafferty et al. 01**]

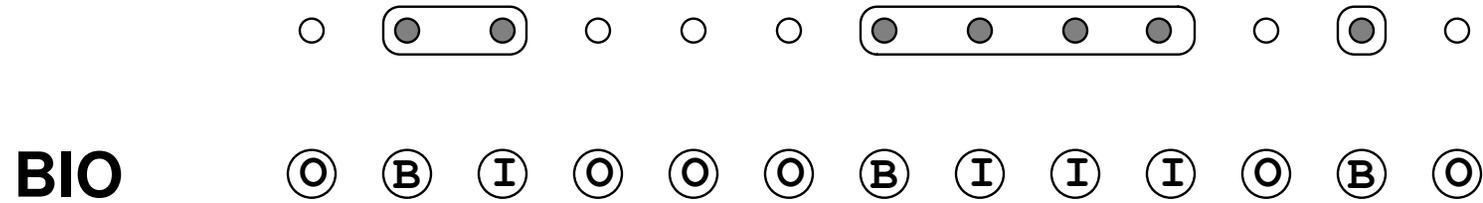
# Learning and Inference: Simple Examples

## BIO Tagging for Phrase Identification



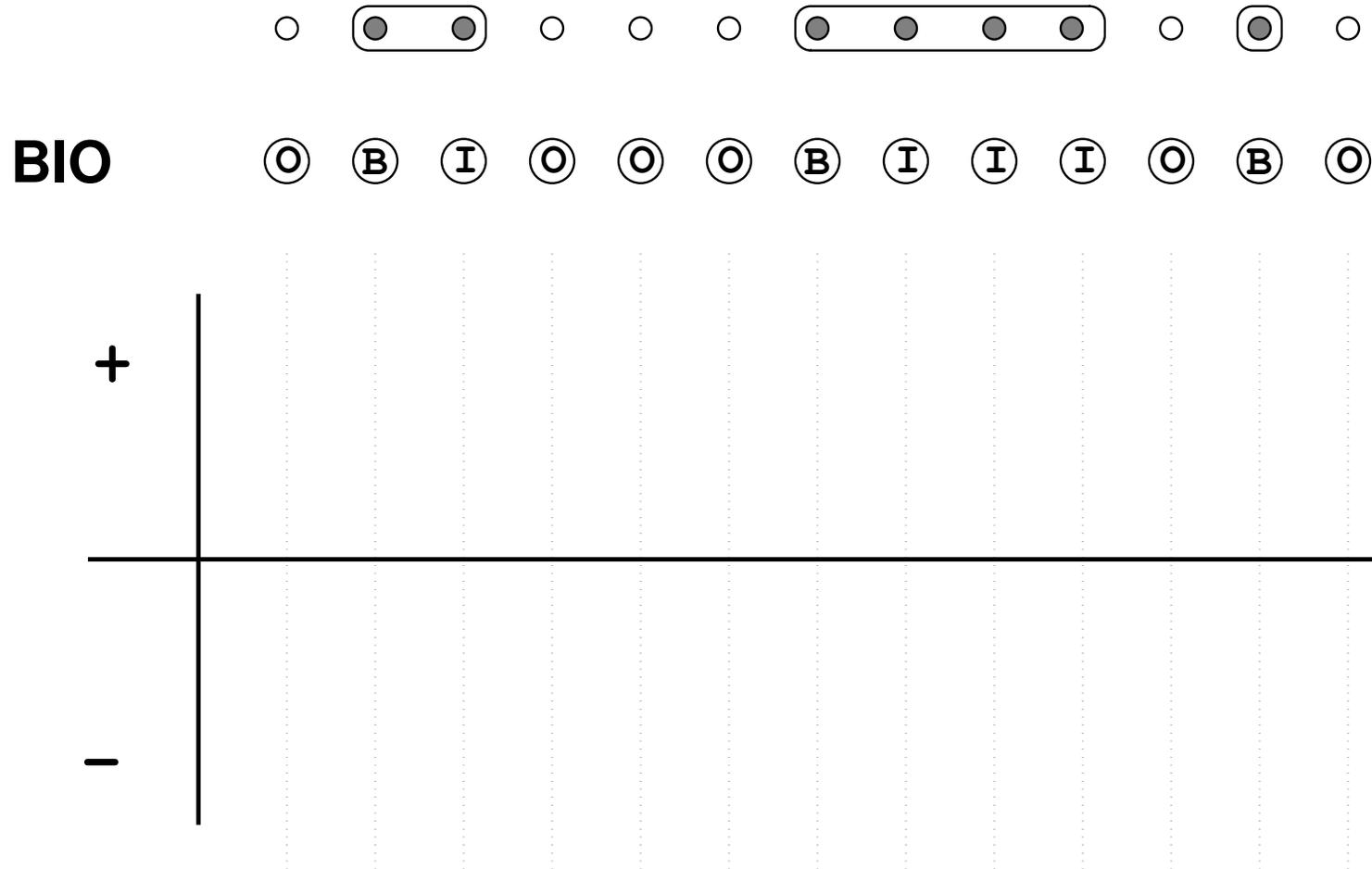
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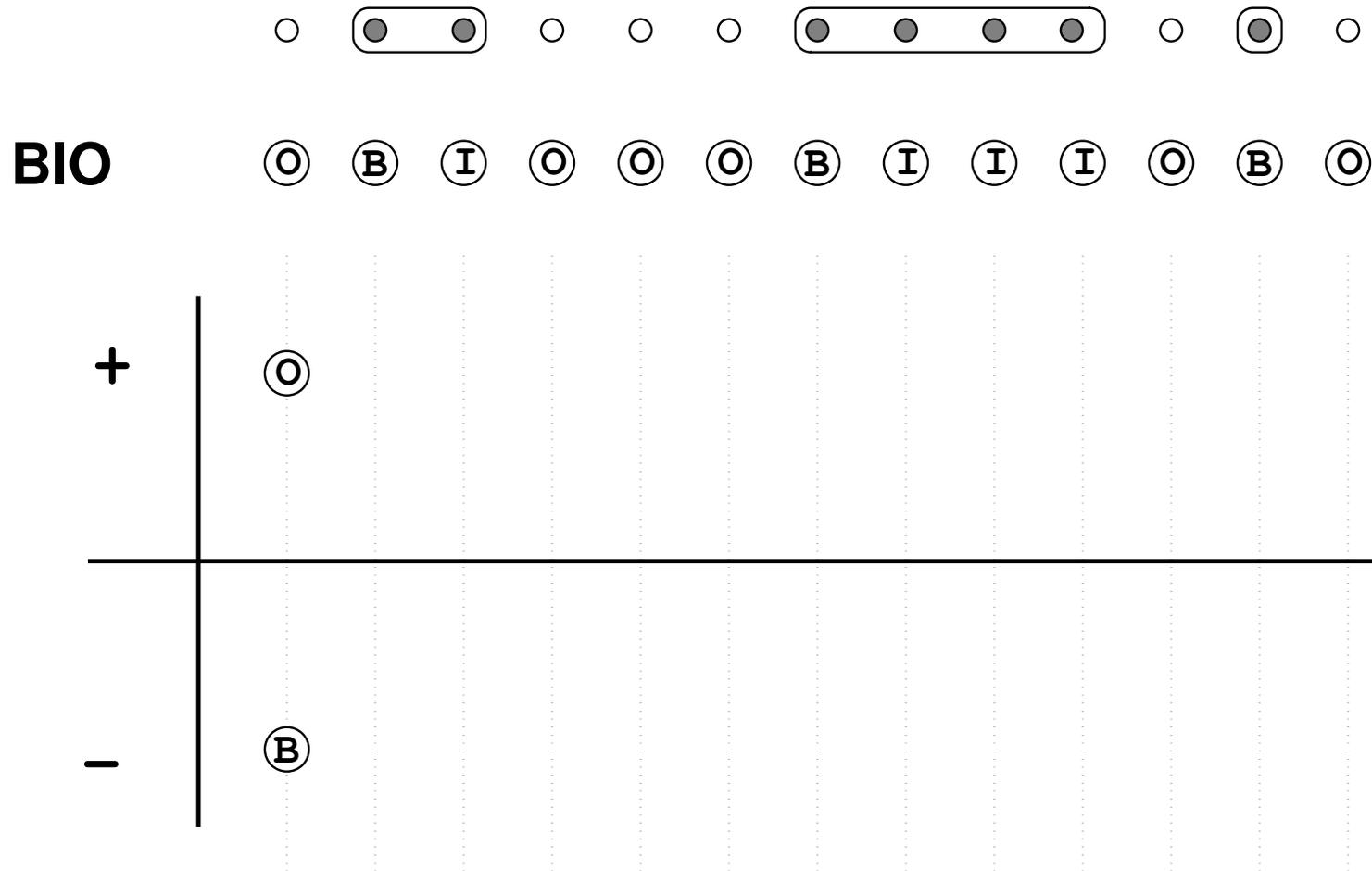
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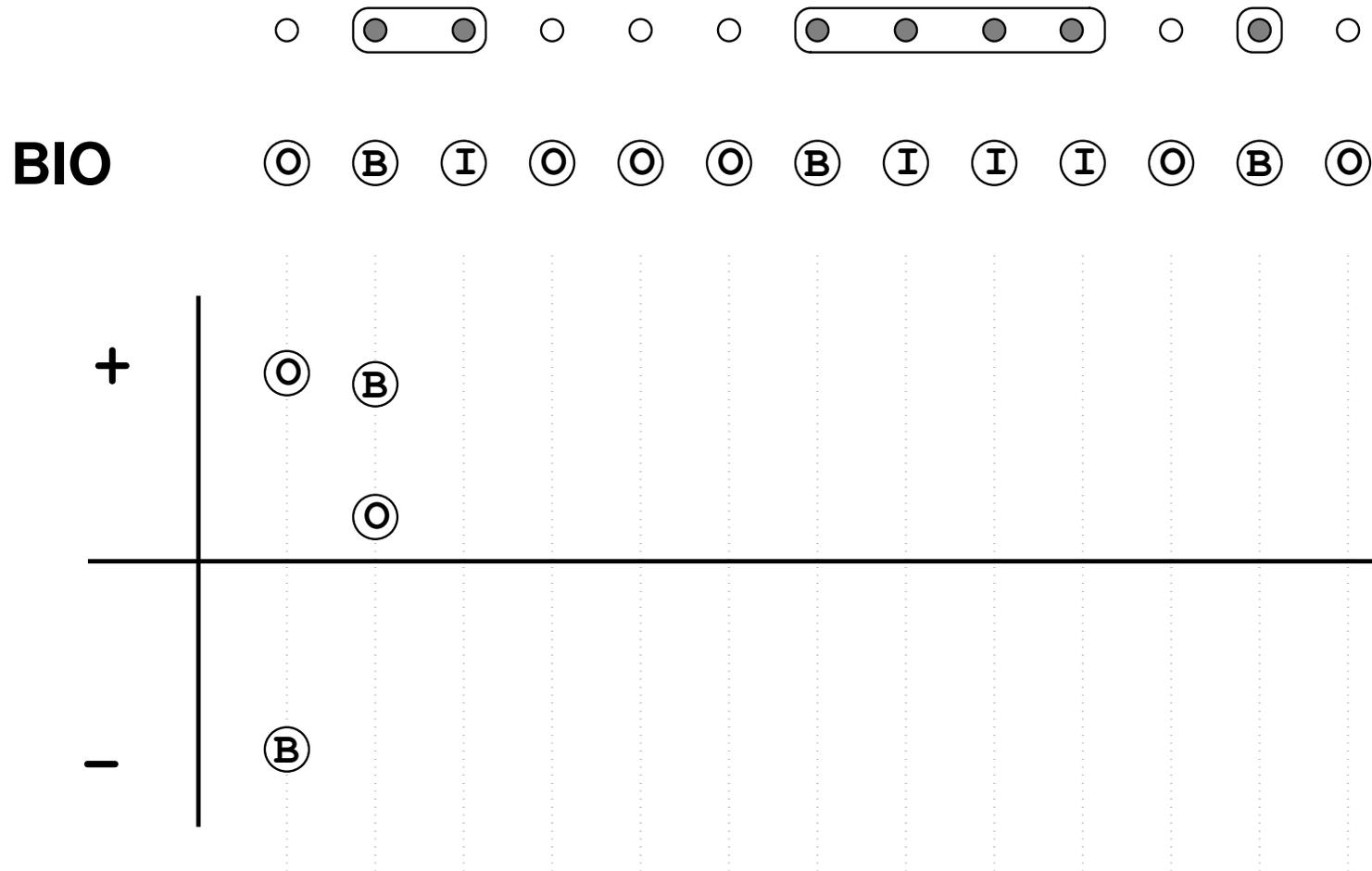
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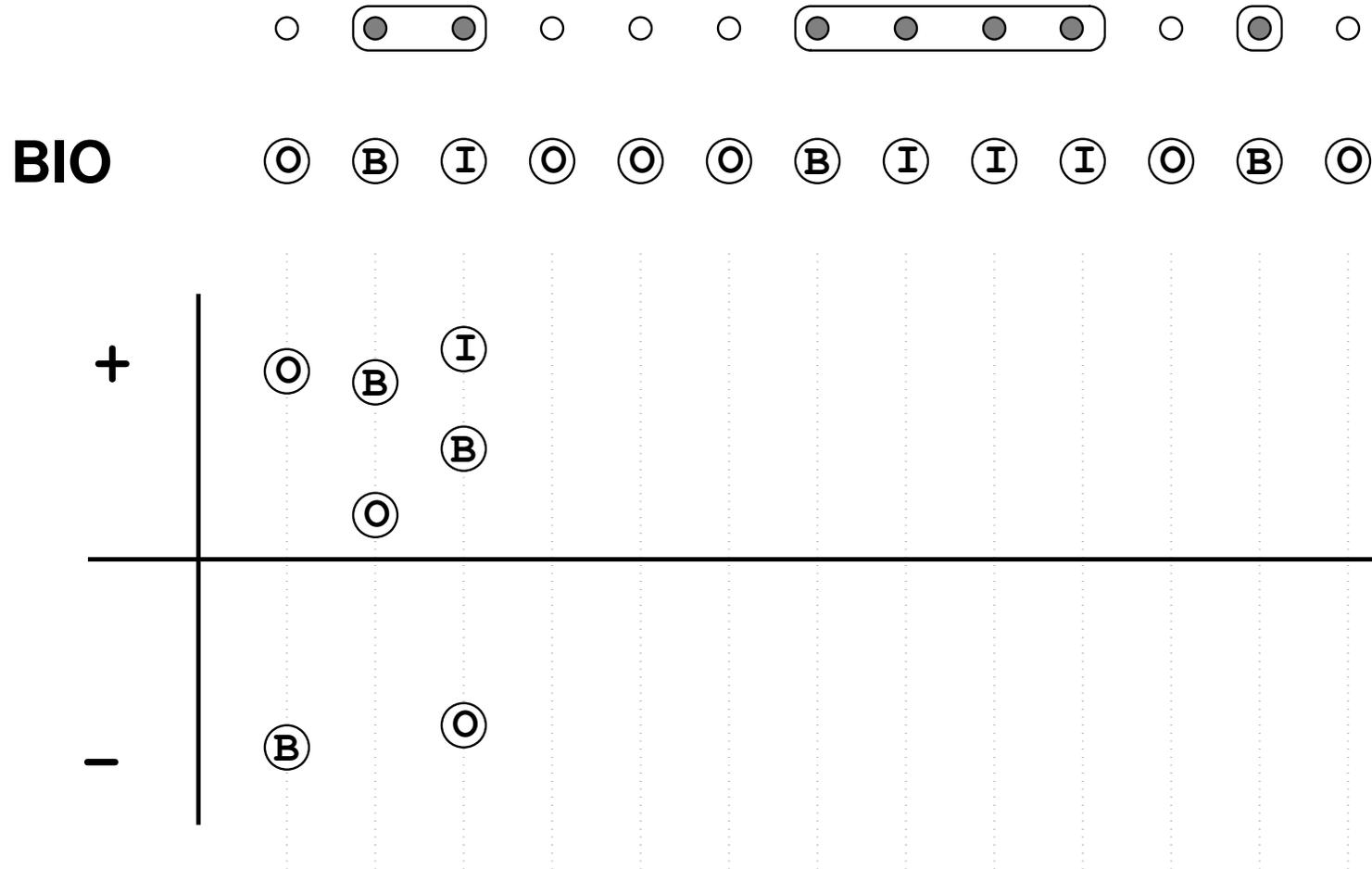
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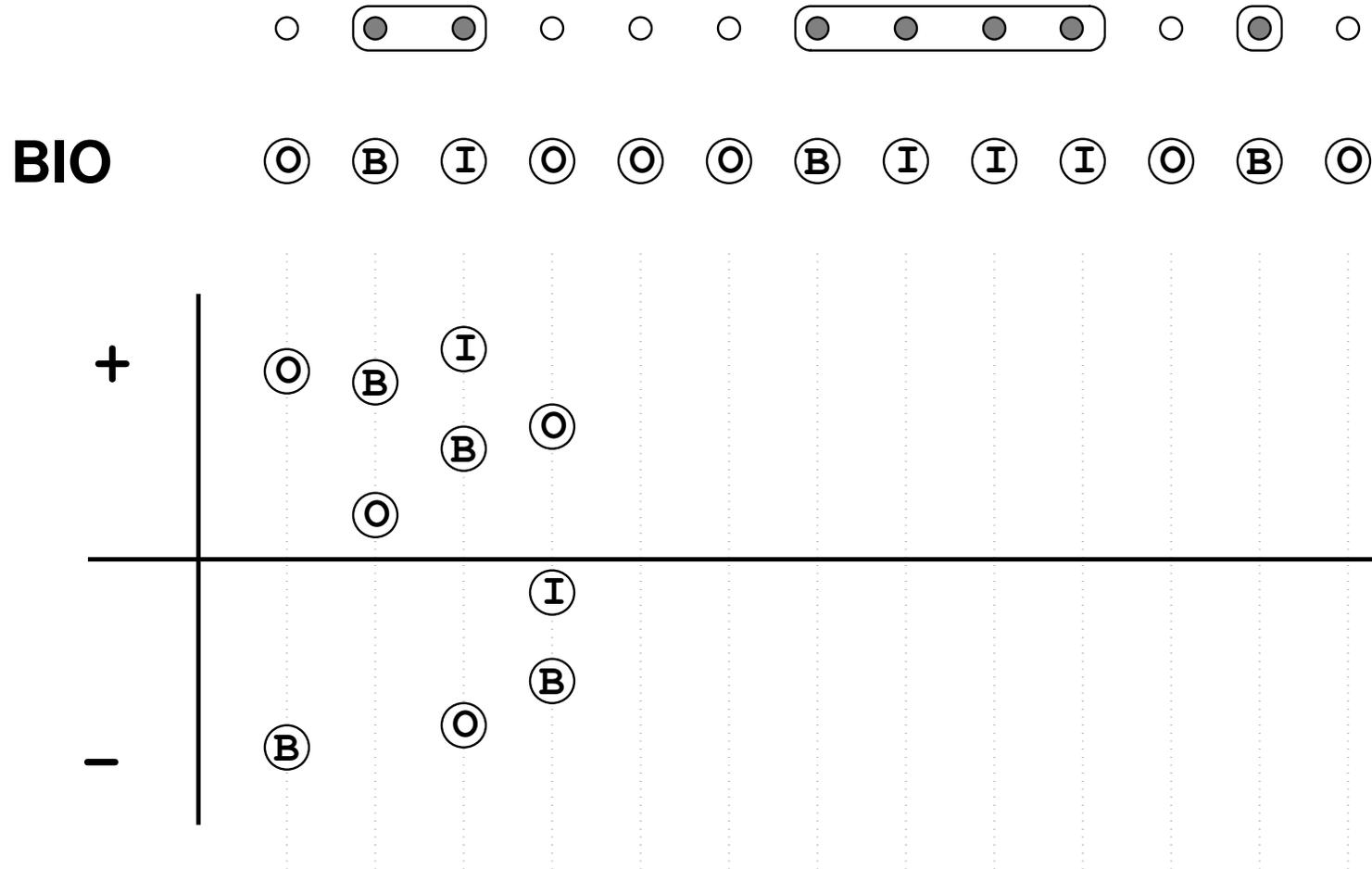
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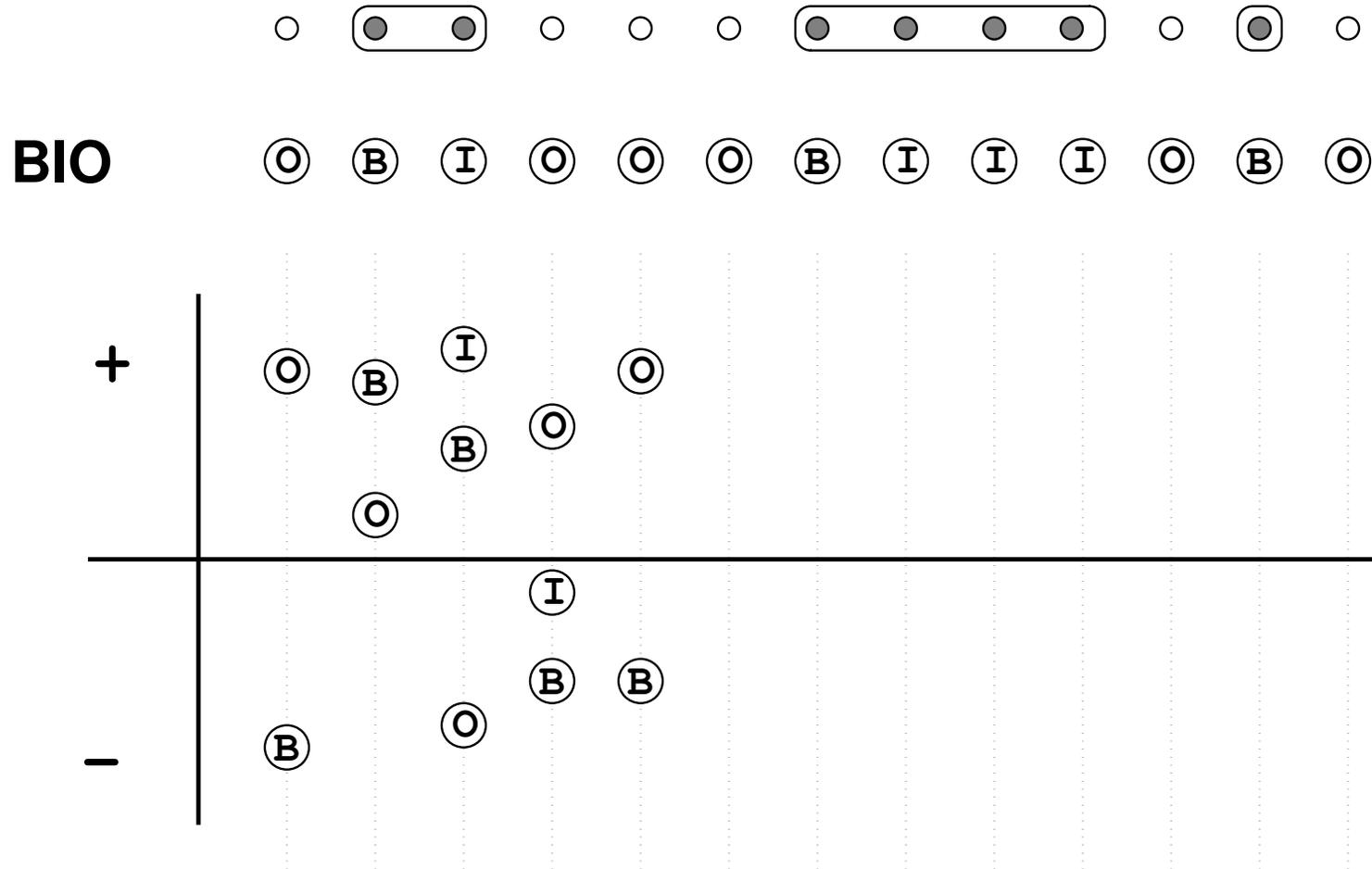
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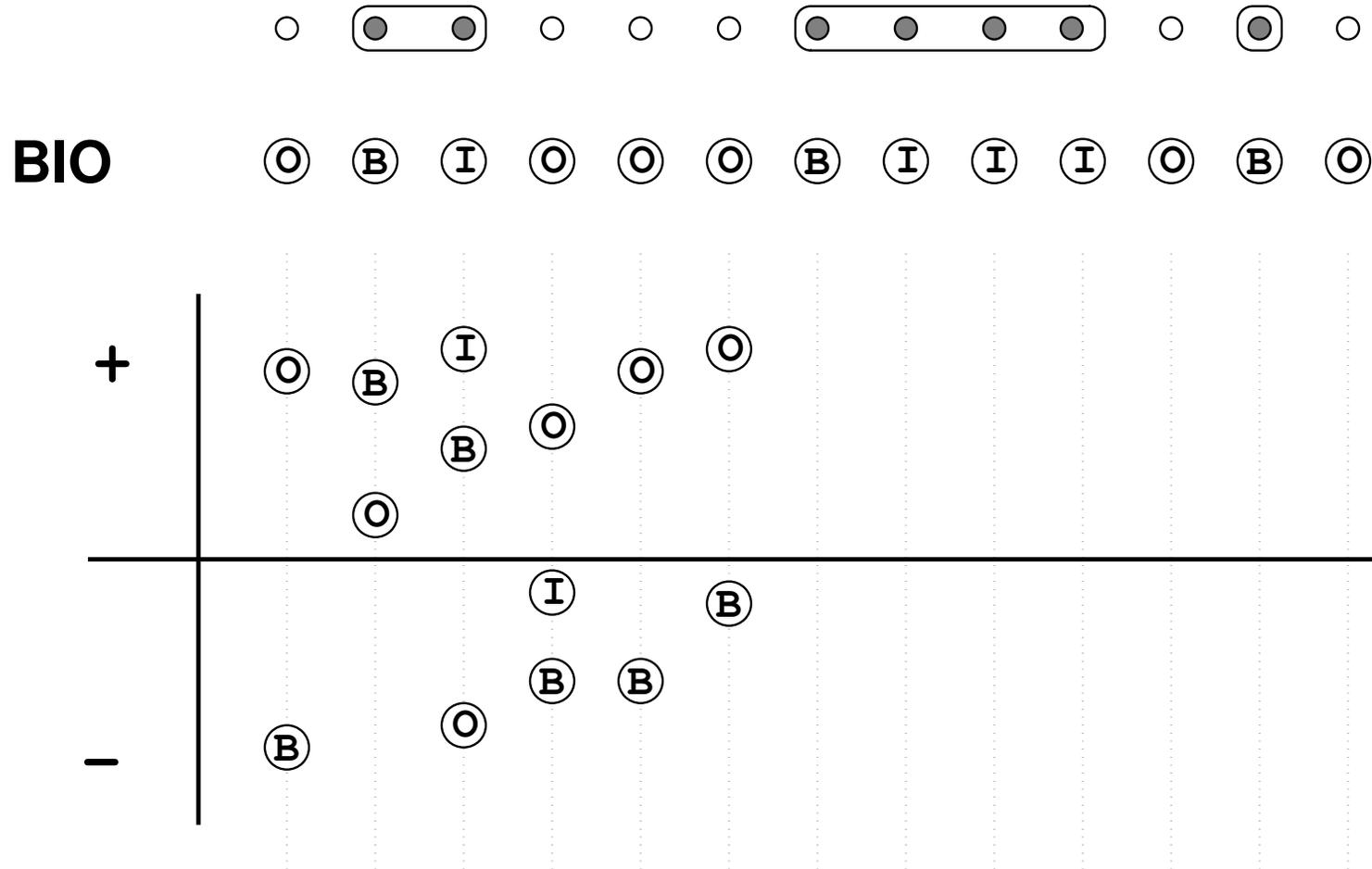
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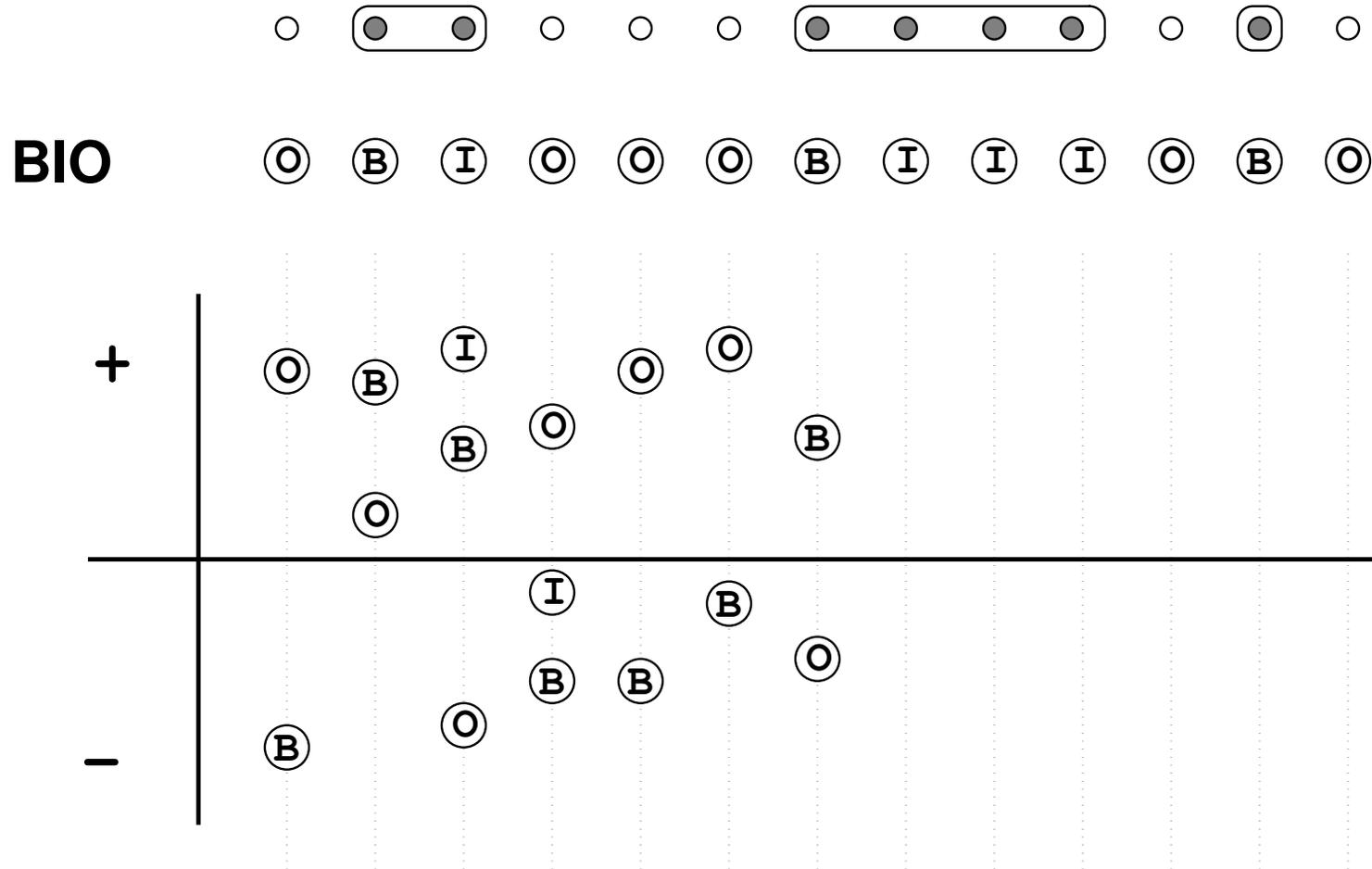
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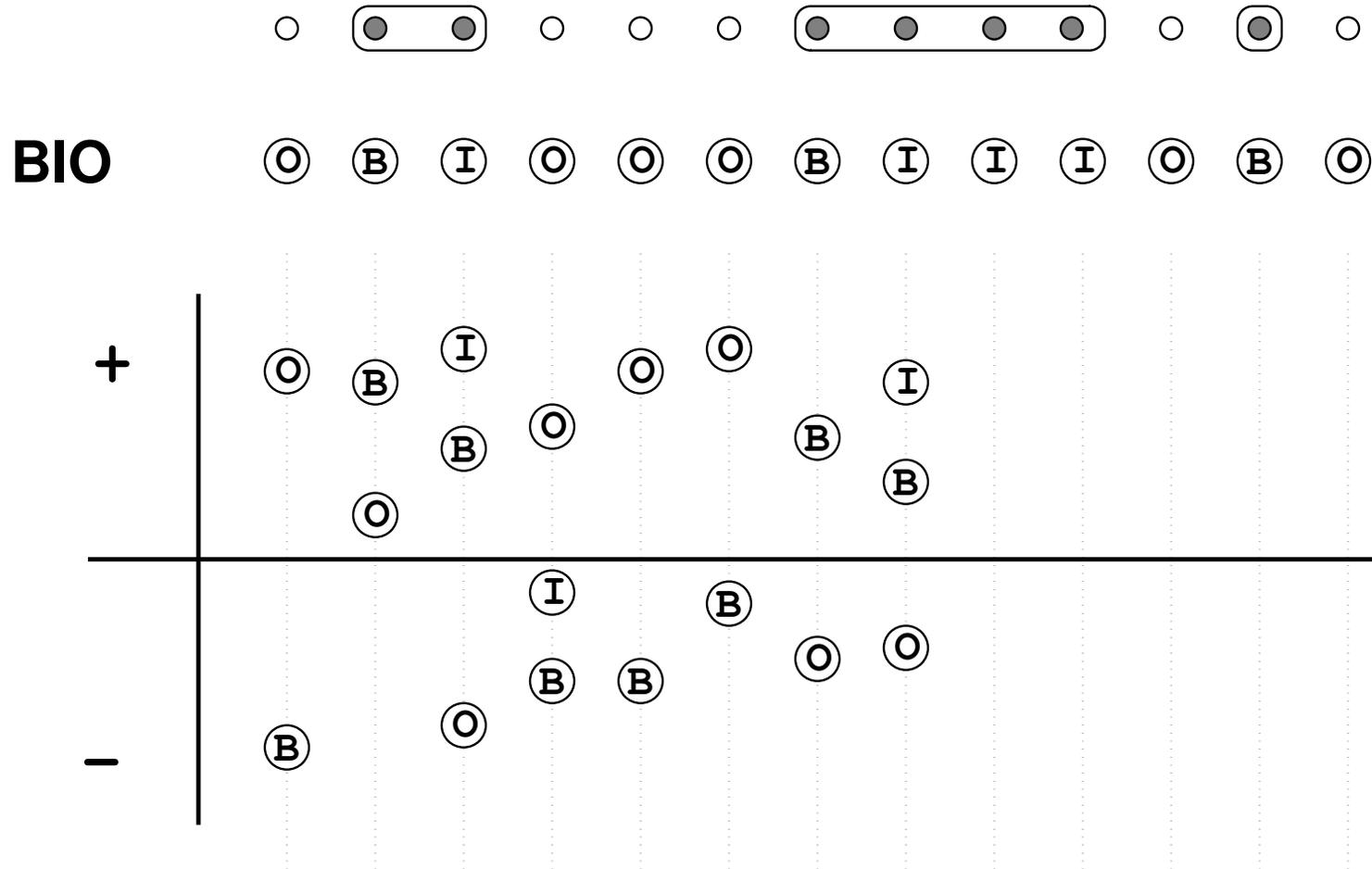
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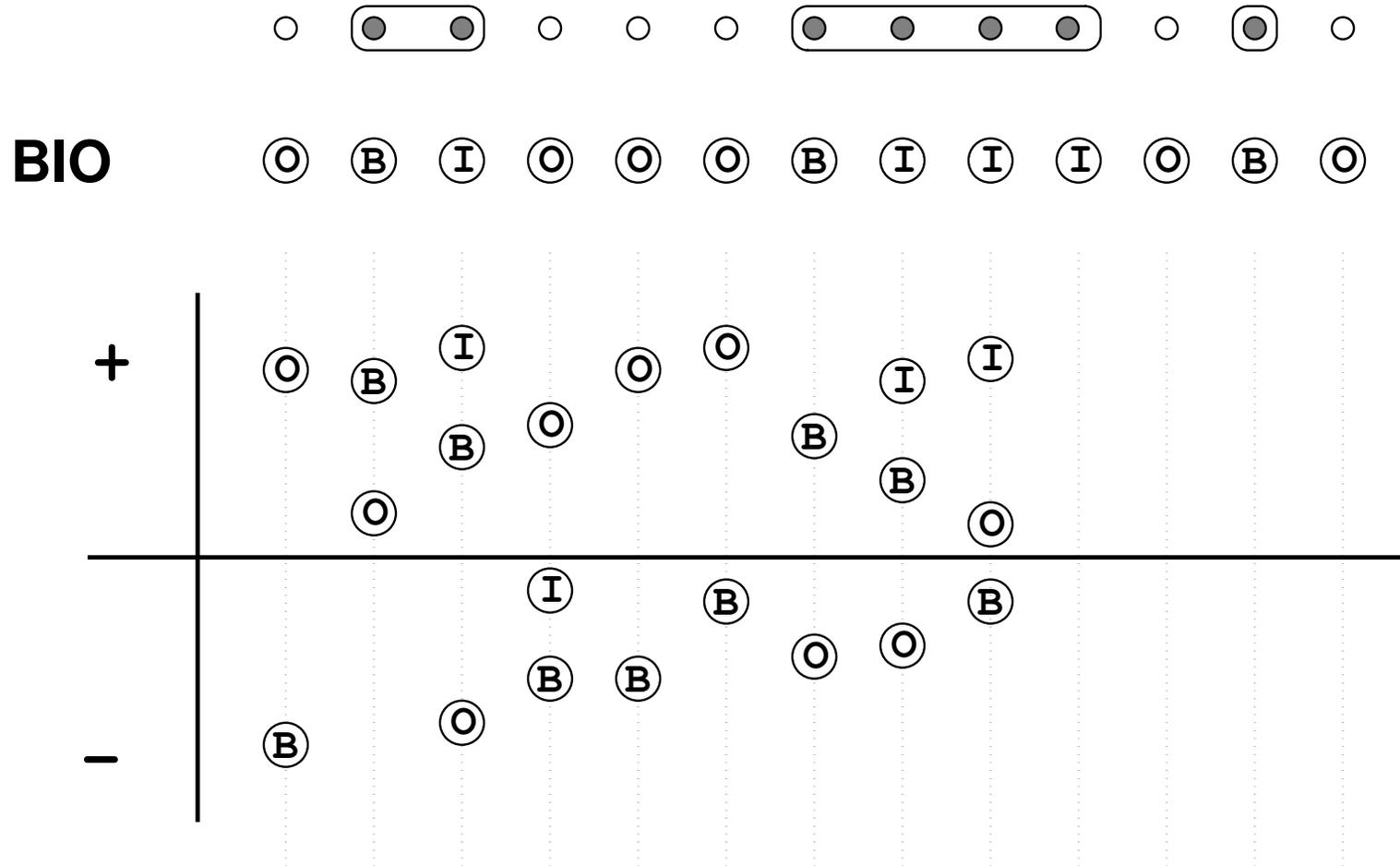
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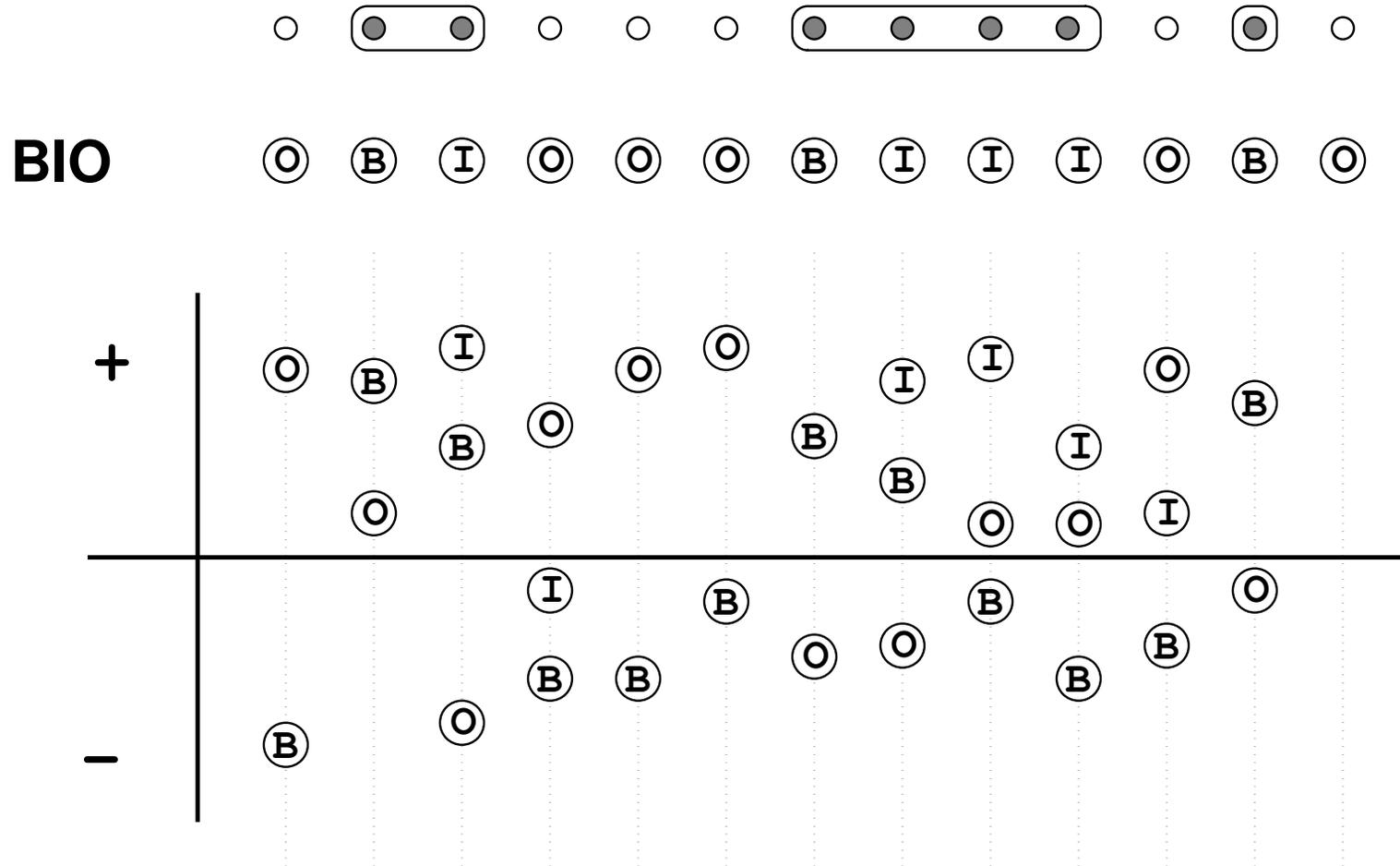






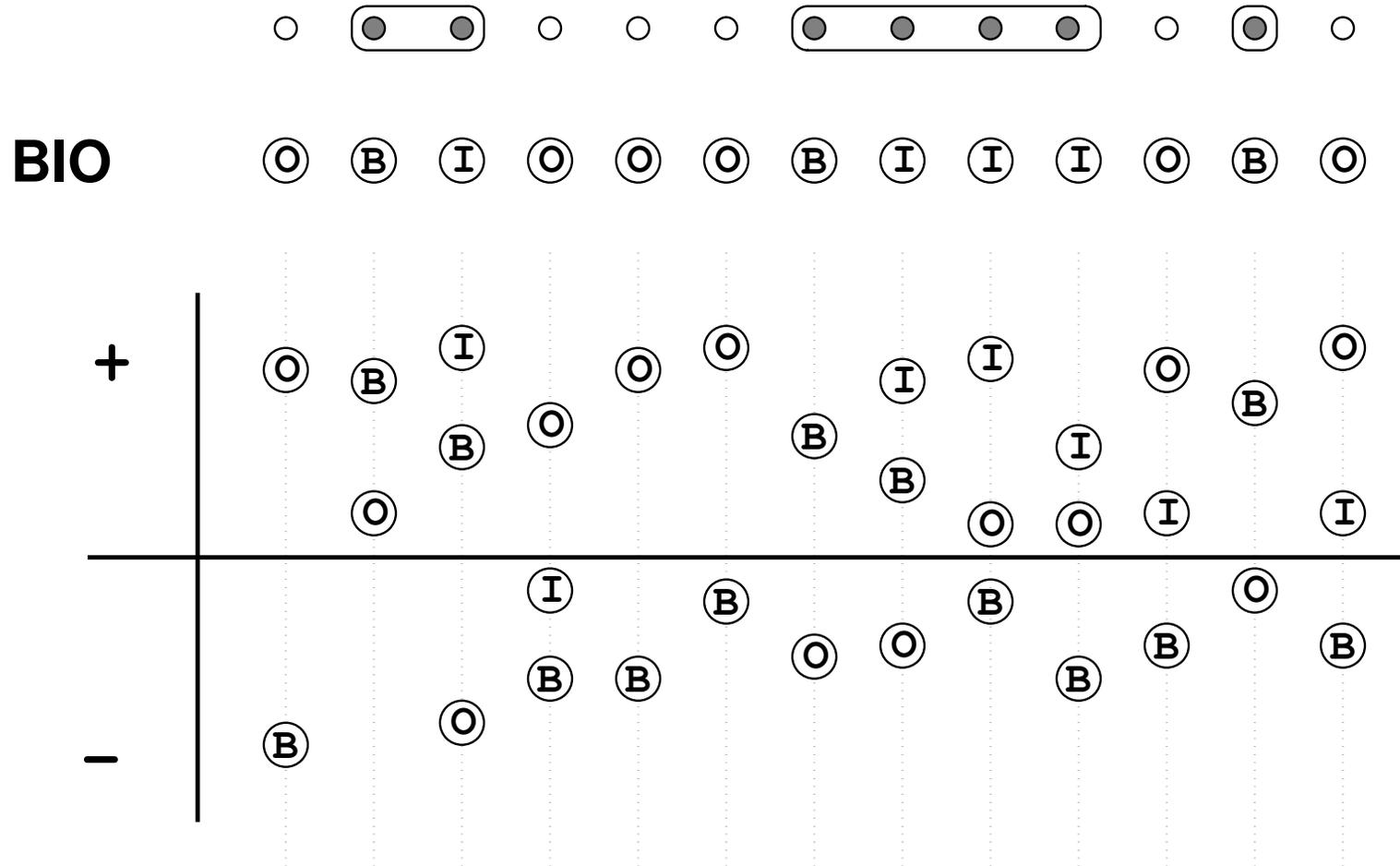
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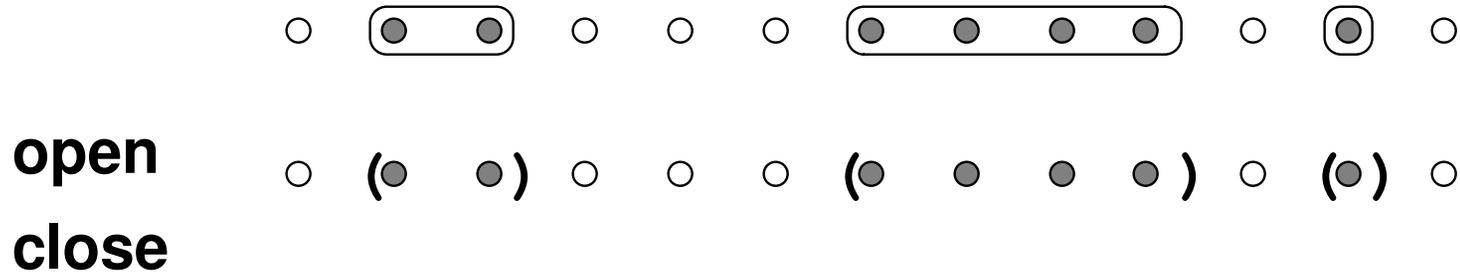
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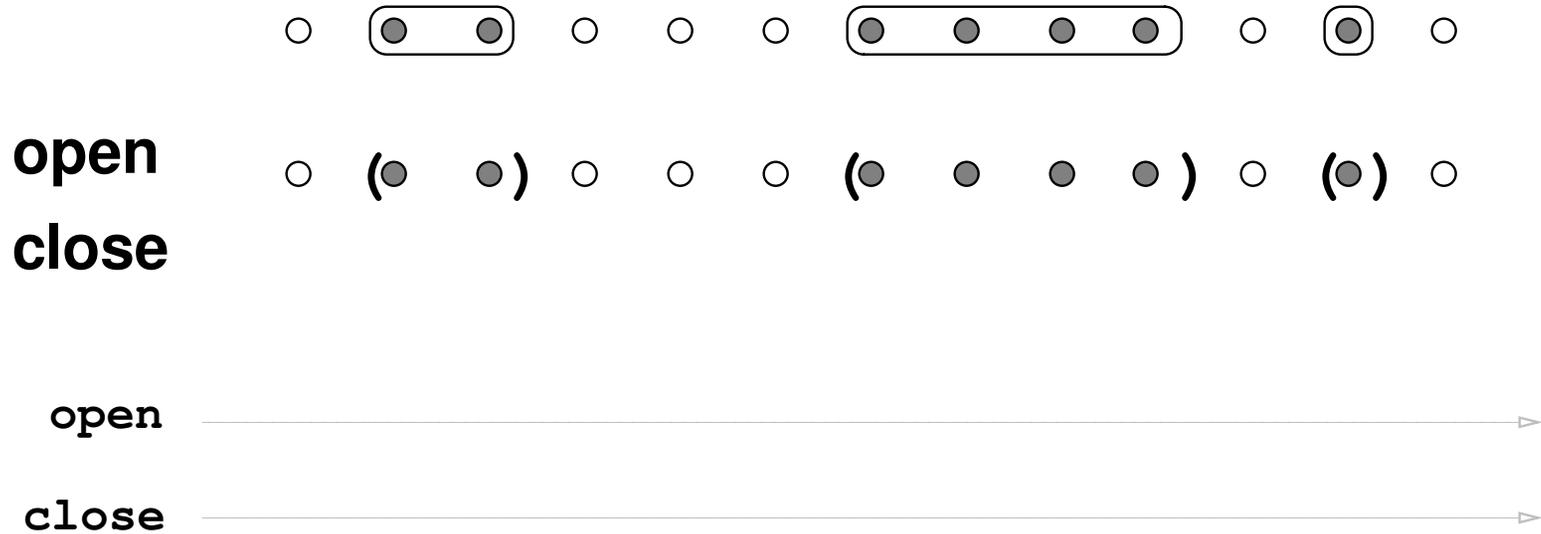
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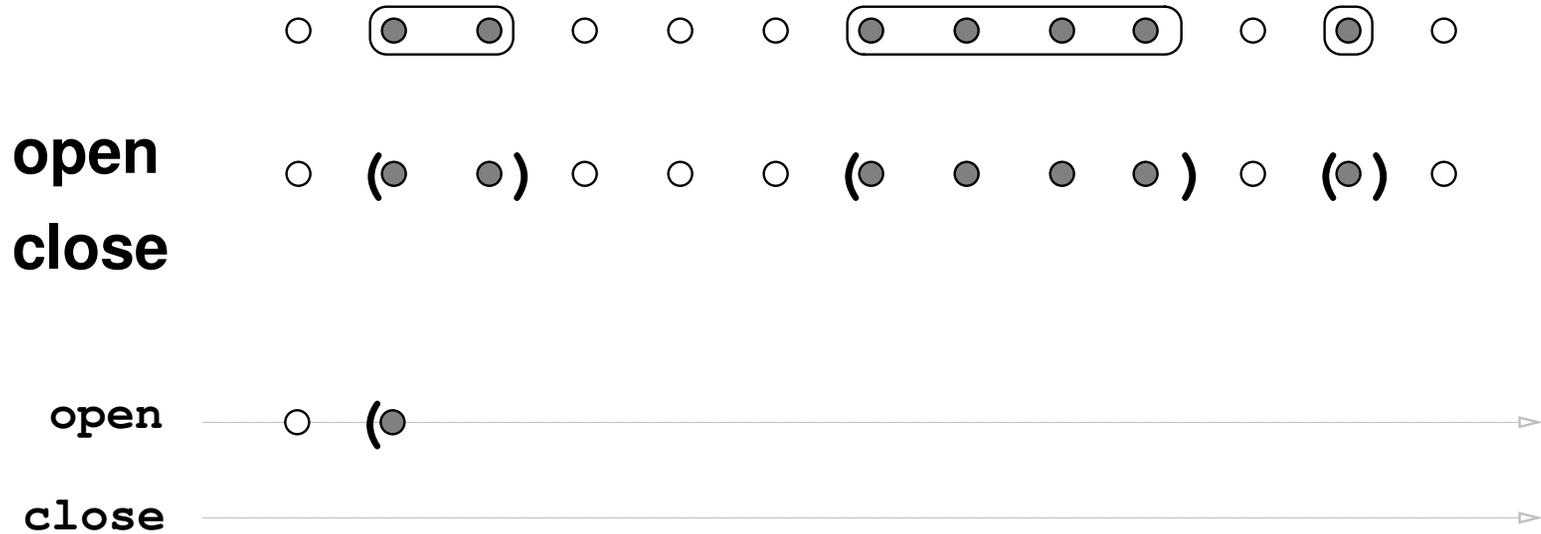
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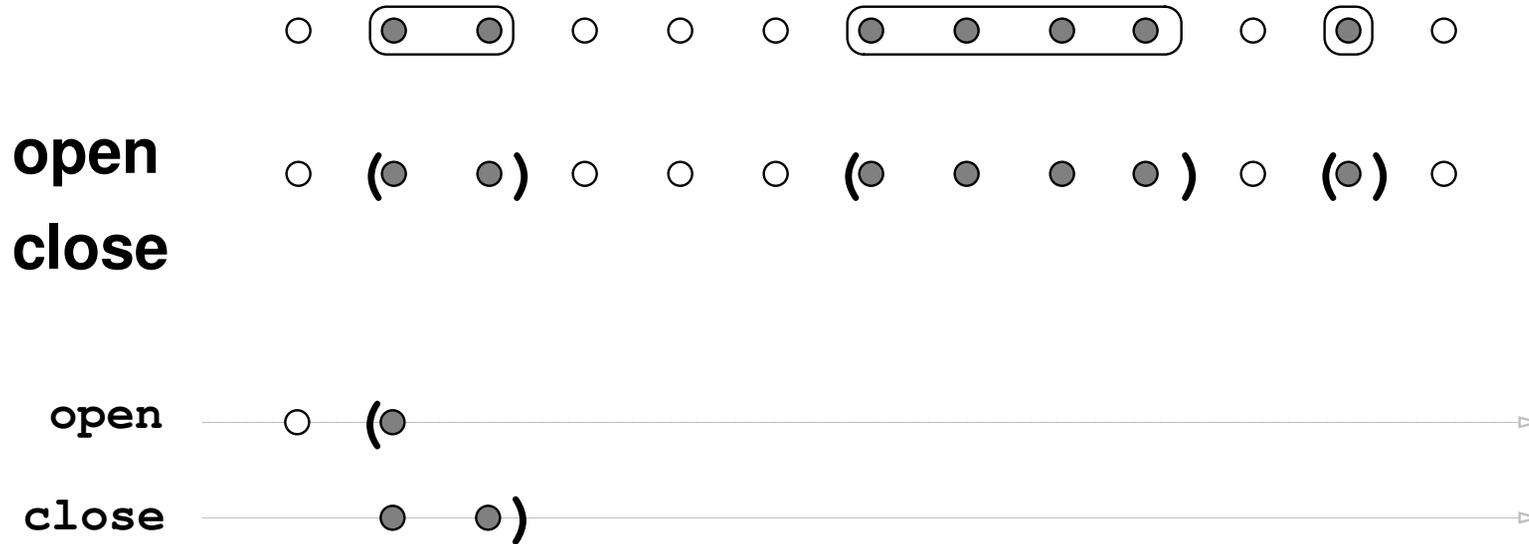
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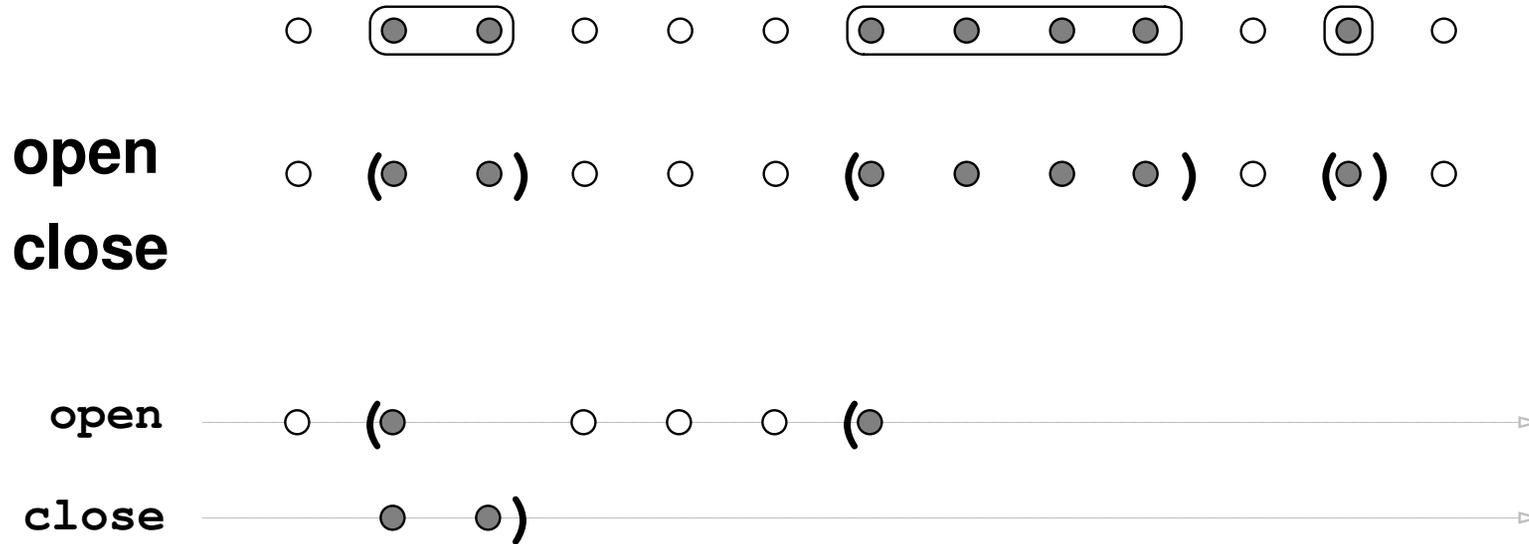
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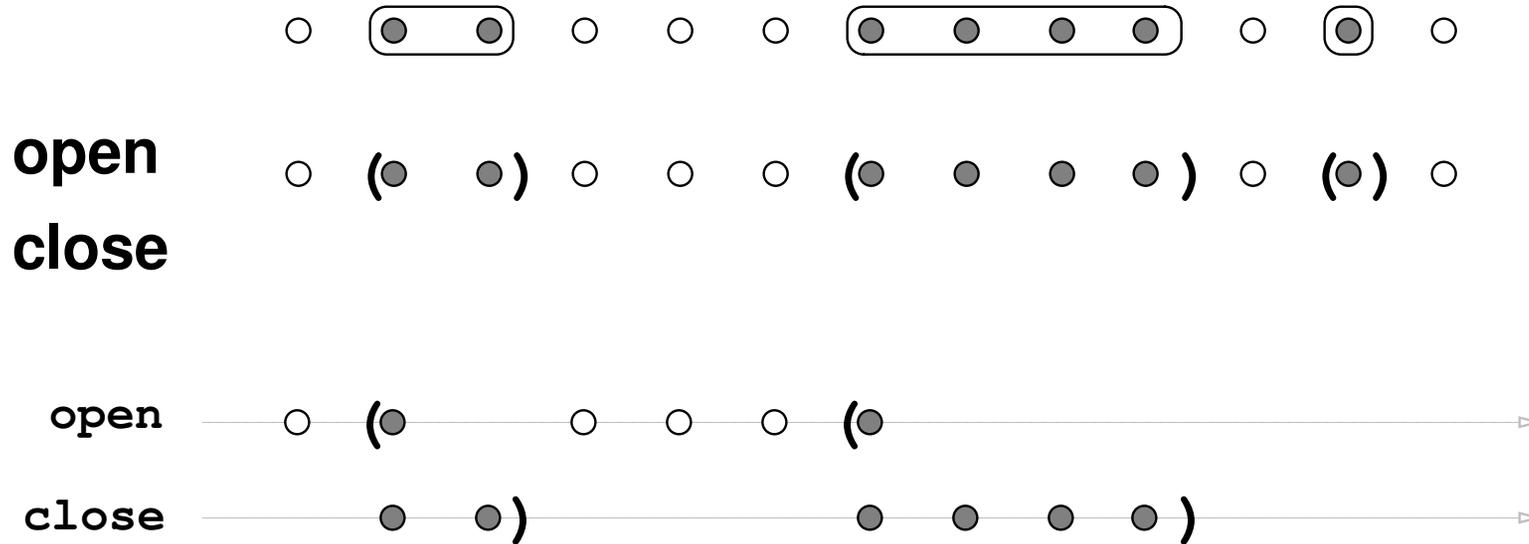
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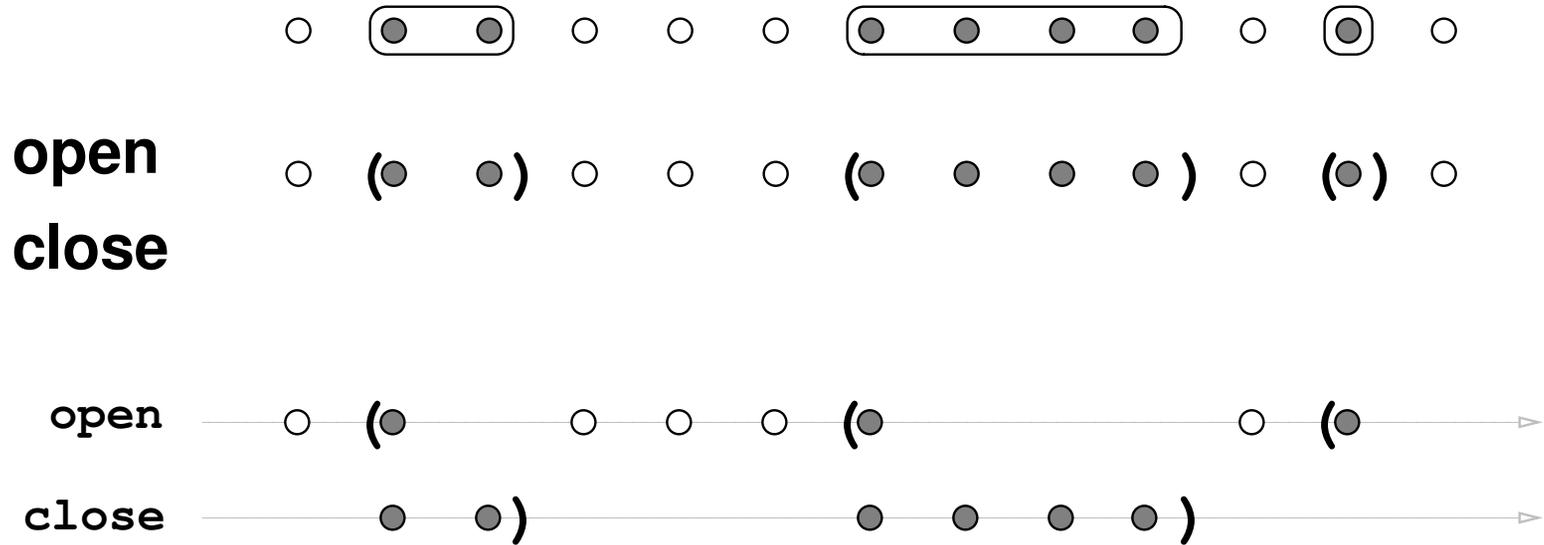
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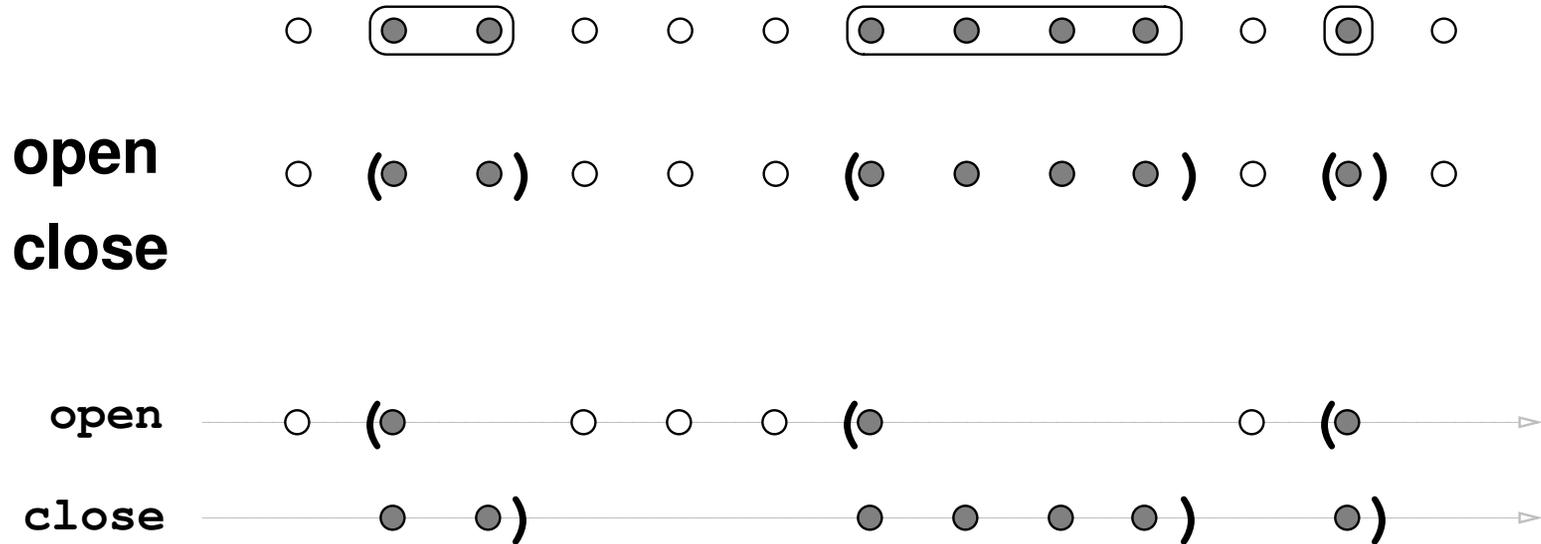
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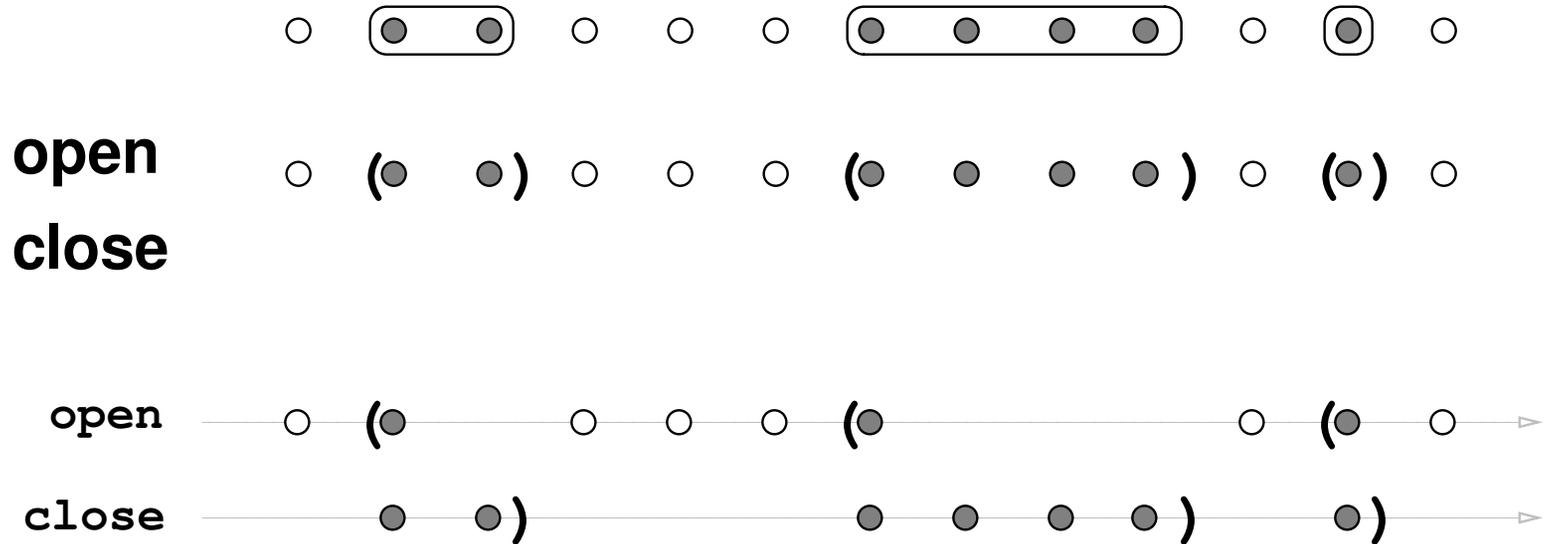
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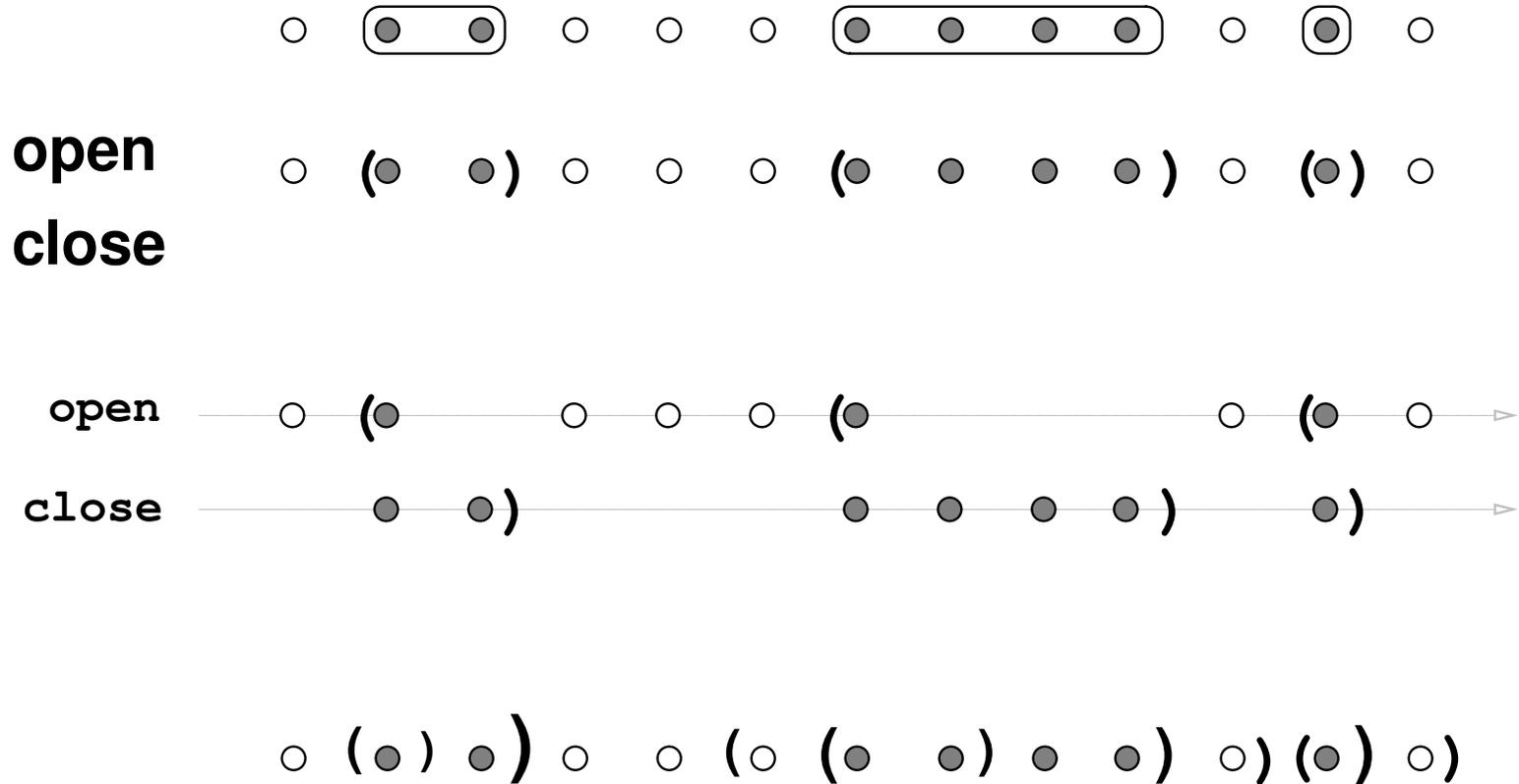
# Learning and Inference: Simple Examples

## Open-Close for Phrase Identification



# Learning and Inference: Simple Examples

## Open-Close for Phrase Identification



## Learning and Inference (Roth et al.)

- **Divide and Conquer** strategy:
  - ★ **Decomposition** into a number of local decisions to **learn** (you can use any classifier that output confidence scores)
  - ★ **Inference** scheme to construct the solution on top of classifiers' predictions; possibly including constraints given by the problem  
**[Punyakanok and Roth, 2001; 2004; Yih and Roth, 2004]**

# Sequential Phrase Identification

- Formalization and proposal of three decompositions and exact inference procedures [Punyakankok & Roth, 2001; 2004]
- **HMM with classifiers:**
  - ★ HMM:  $P(y_1), P(y_t|y_{t-1}), P(x_t|y_t)$
  - ★  $P(x_t|y_t) = \frac{P(y_t|x_t)P(x_t)}{P(y_t)}$
  - ★ Classifiers provide  $P(y_t|x_t)$
  - ★ Actually, it is extended to  $P(y_t|\hat{x}_t)$
  - ★ The objective function is exactly the same than in regular HMM's. Inference is done by using the Viterbi decoder

# Sequential Phrase Identification

[Punyakanok & Roth, 2001; 2004]

- **Projective Markov Models (PMM):**
  - ★ Classifiers directly estimate  $P(y_t|y_{t-1}, \hat{x}_t)$
  - ★ Optionally, train:
    - \* a binary classifier for each pair  $(y_t, y_{t-1})$
    - \* a binary classifier for each  $y$  including features on  $y_{t-1}$
    - \* a single multiclass classifier including features on  $y_{t-1}$
  - ★ Convert output scores in true probabilities (e.g., using softmax)
  - ★ The objective functions is:  $\arg \max_{y_1, \dots, y_n} \prod_{k=1}^n P(y_k|y_{k-1}, \hat{x}_k)$
  - ★ The inference is again the Viterbi decoder

# Sequential Phrase Identification

[Punyakanok & Roth, 2001; 2004]

- **Constraint Satisfaction with classifiers:**
  - ★ CSP problem casted as a DAG based on open-close
  - ★ Classifiers provide confidence on open and close decisions
  - ★ The inference is the *shortest path* algorithm
- **Empirical Results on the chunking task:**

**HMM < HMM+class < PMM ≈ CS+class**

# Sequential Phrase Identification

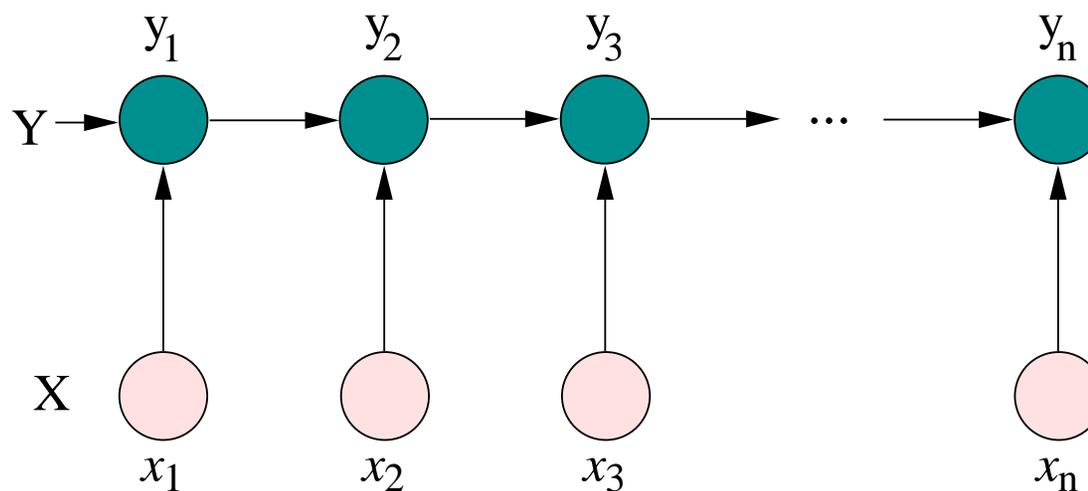
[Punyakanok & Roth, 2001; 2004]

- **Note<sub>1</sub>**

- ★ A PMM is also called **Conditional Markov Model**. Other examples using Maximum Entropy (MEMM)

[Ratnaparkhi 1996; 1999; McCallum et al.,2000]

Graphical Model corresponding to a MEMM



# Sequential Phrase Identification

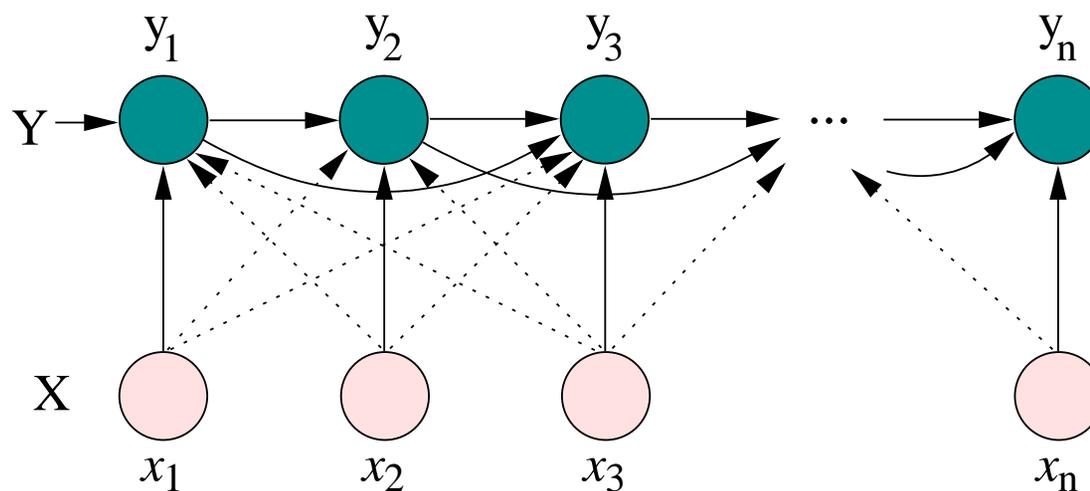
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# Sequential Phrase Identification

[Punyakanok & Roth, 2001; 2004]

## Extension of the previous work

- Work with general constraints, not only structural
  - ★ Joint recognition of Named Entities and Relations  
[Yih and Roth, 2004]. See slides on that paper (in PowerPoint)
  - ★ Application to Semantic Role Labeling  
[Punyakanok et al., 2005]. More on this in the last session
- Solved using Integer Linear Programming.  
Exact inference is feasible

# Hierarchical Phrase Identification

## Clause Identification

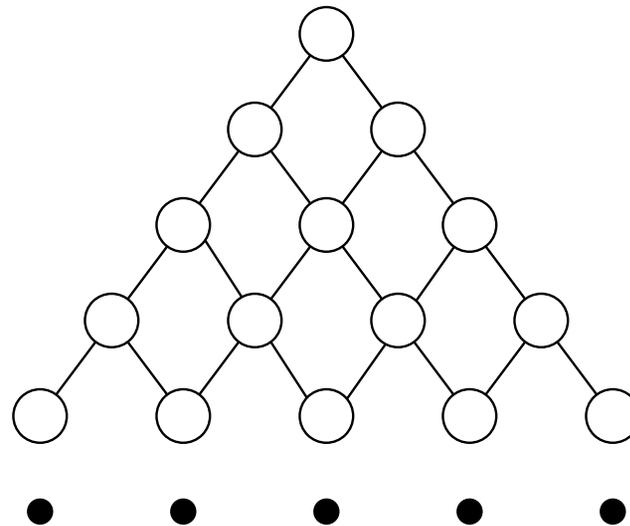
- Clause = sequence of contiguous words with a subject (maybe implicit) and a predicate.

( ( When ( you don't have any other option) ) ,  
it's easy ( to fight ) . )

- A clause is represented by its **boundary** words ( $s, e$ ).
- Clauses in a sentence form a **hierarchical structure**.
- Clauses can **not overlap**, but may be **embedded**.

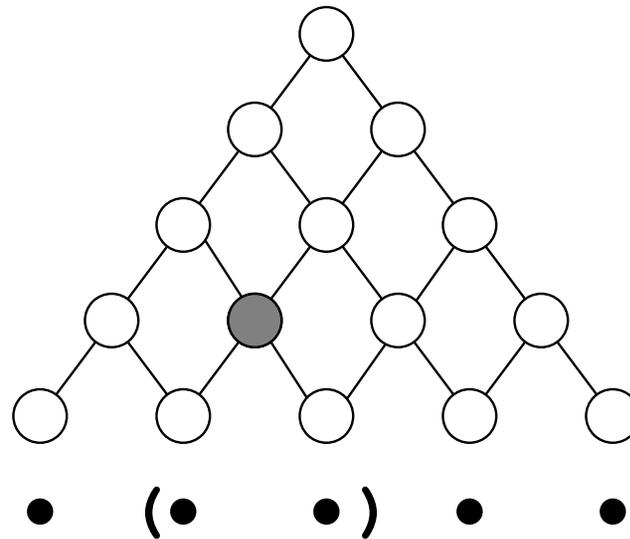
# Hierarchical Phrase Identification

**CI is a phrase recognition problem**



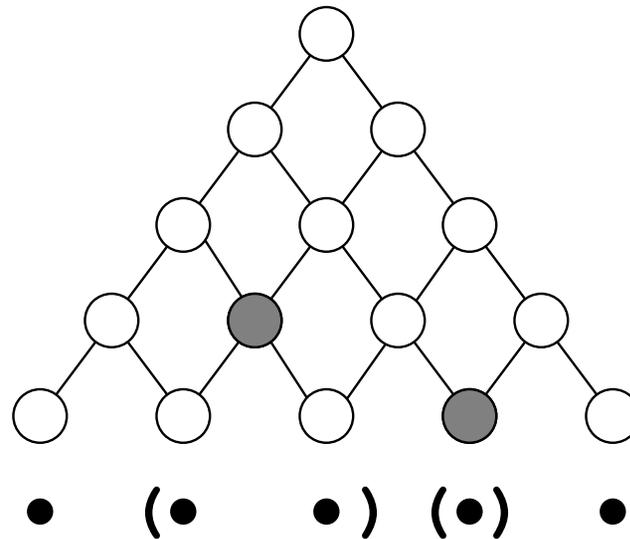
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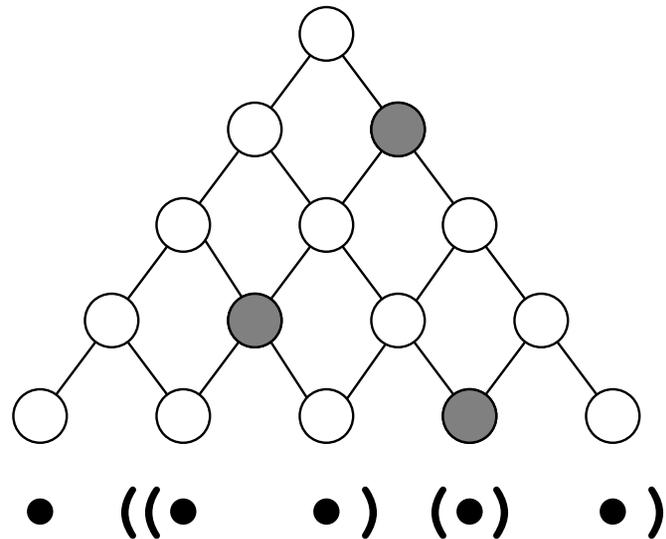
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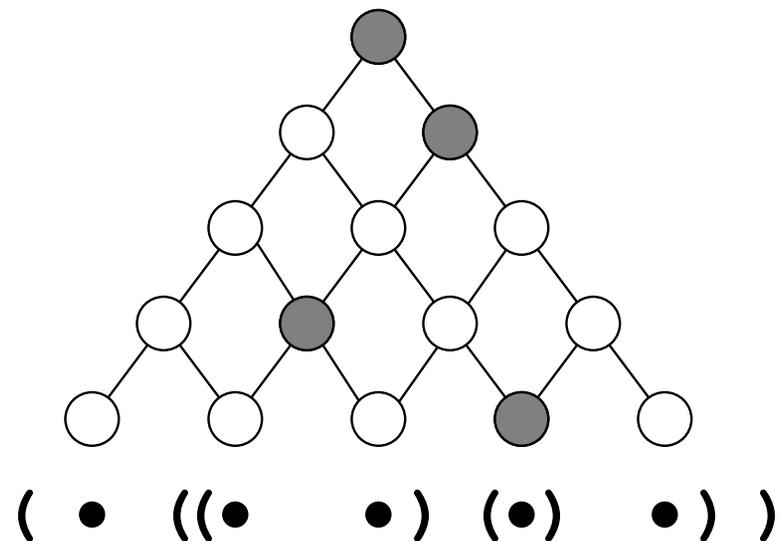
# Hierarchical Phrase Identification

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# Hierarchical Phrase Identification

CI is a phrase recognition problem



A **solution** is a coherent set of phrases

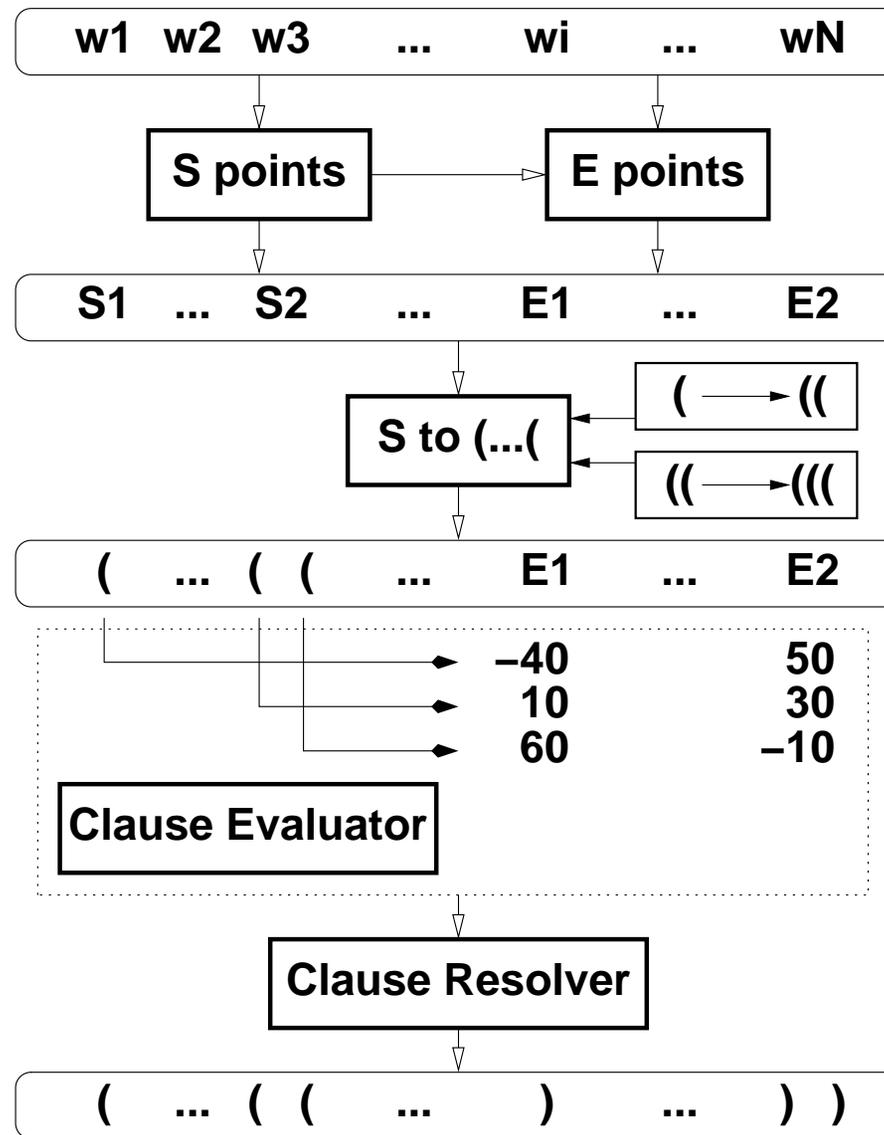
$$x = x_0, x_1, x_2, x_3, x_4$$

$$y = \{(3, 3)_1, (1, 2)_2, (1, 4)_1, (0, 4)_3\}$$

## (a brief excursion) CoNLL-2001: Clause Splitting

- CoNLL series (1997–2006). Organized by ACL’s SIGNLL (Special Interest Group on Natural Language Learning).  
<http://www.cnts.ua.ac.be/conll/>
- ~2 months for developing and testing the system!
- **[Carreras and Màrquez, 2001]:**
  - ★ Decomposition of the whole problem into a combination of “simple” binary decisions
  - ★ AdaBoost algorithm (Shapire and Singer, 1999): Decision Trees of fixed depth (1-4)

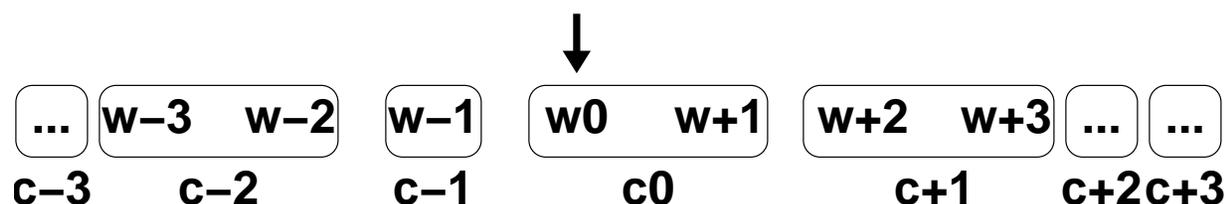
# Hierarchical Phrase Identification: CoNLL-2001 Prototype



## (a brief excursion) CoNLL-2001: Clause Splitting

### Features

- Window:
  - ★ Word Window: word forms and POS.
  - ★ Chunk Window: chunk labels.



- Whole Sentence:
  - ★ Sentence patterns, representing the relevant structure of the sentence.

## (a brief excursion) CoNLL-2001: Clause Splitting

### Features

- Whole Sentence:
  - ★ Sentence patterns, representing the relevant structure of the sentence. Example:  
The deregulation of railroads **and** trucking companies **that began** in 1980 **enabled** shippers to **bargain** for transportation .  
Pattern: 

and that VP VP VP .
---------------------
- Sentence features (global):
  - ★ Verbs, Punctuation marks
  - ★ Relative pronouns, S points, and E points

# (a brief excursion) CoNLL-2001: Clause Splitting

## Sizes and Results

	#ex	#ex +	#feat	#wr	d
S points	138,069	22,950	43,356	2,000	3
E points	138,069	17,150	42,853	2,000	3
( → ((	23,075	1,519	36,240	1,500	3
(( → (((	1,600	81	5,361	100	3
Cl.Eval.	39,209	16,294	14,040	2,000	3

development	precision	recall	$F_{\beta=1}$
part 1	95.77%	92.08%	93.89%
part 2	91.27%	89.00%	90.12%
part 3	87.18%	82.48%	<b>84.77%</b>

## (a brief excursion) CoNLL-2001: Clause Splitting

### Conclusions

- The system did not perform bad at all...
  - ★ second best system performed about 10 points lower!
- Why?
  - ★ Rich feature set; **Working at clause structure level**; A robust learning algorithm
- But...
  - ★ The system is ad-hoc and follows a greedy approach;  
Non-recursive decomposition of the task; The pipeline of decisions propagates errors

# Filtering-Ranking Architecture

[Carreras et al., 2005]

- A general architecture to recognize phrase structures
- Two levels of learning:
  - ★ Filter: decides which words start/end a phrase
  - ★ Ranker: scores phrases
- On the top, dynamic programming inference builds the best-scored phrase structure
- We propose FR-Perceptron: a Perceptron learning algorithm tailored for the architecture

# Filtering-Ranking Architecture: Decomposition

- A solution is decomposed at phrase level:

$$\text{score}(x, y) = \sum_{(s,e)_k \in y} \text{score}_p(x, y, (s, e)_k)$$

- Still, the number of phrases grows quadratically with the sentence length
- We reduce the space of phrases by filtering at word level.  
For a phrase  $(s, e)_k$  to be in a solution:

$$\text{start}_w(x, s, k) > 0 \quad \wedge \quad \text{end}_w(x, e, k) > 0$$

## Filtering-Ranking Model

$\mathcal{Y}$ : **solution space**, i.e. set of all phrase structures

$\mathcal{Y}_{SE}$ : **practical solution space**, filtered at word level:

$$\mathcal{Y}_{SE} = \{y \in \mathcal{Y} \mid \forall (s, e)_k \in y \text{ start}_w(x, s, k) \wedge \text{end}_w(x, e, k)\}$$

The Filtering-Ranking architecture computes:

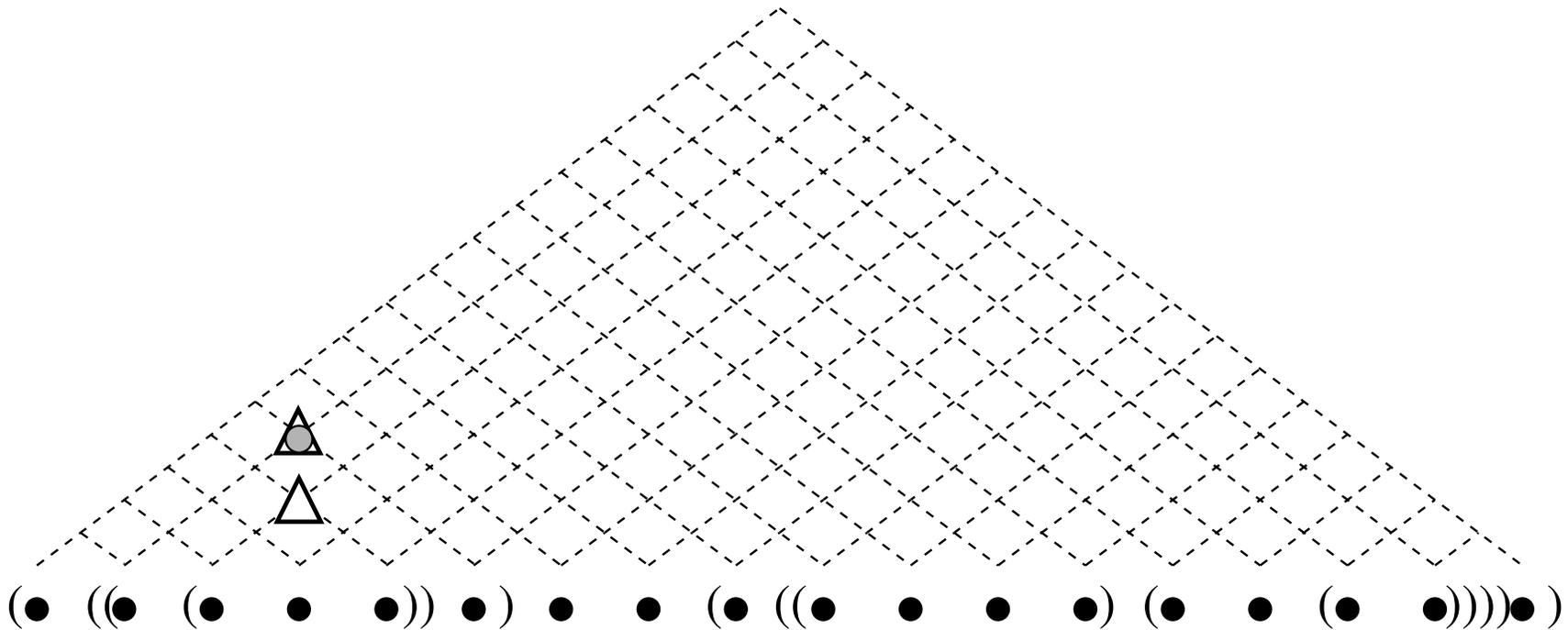
$$\text{PhRec}(x) = \arg \max_{y \in \mathcal{Y}_{SE}} \sum_{(s, e)_k \in y} \text{score}_p(x, y, (s, e)_k)$$

using dynamic-programming.

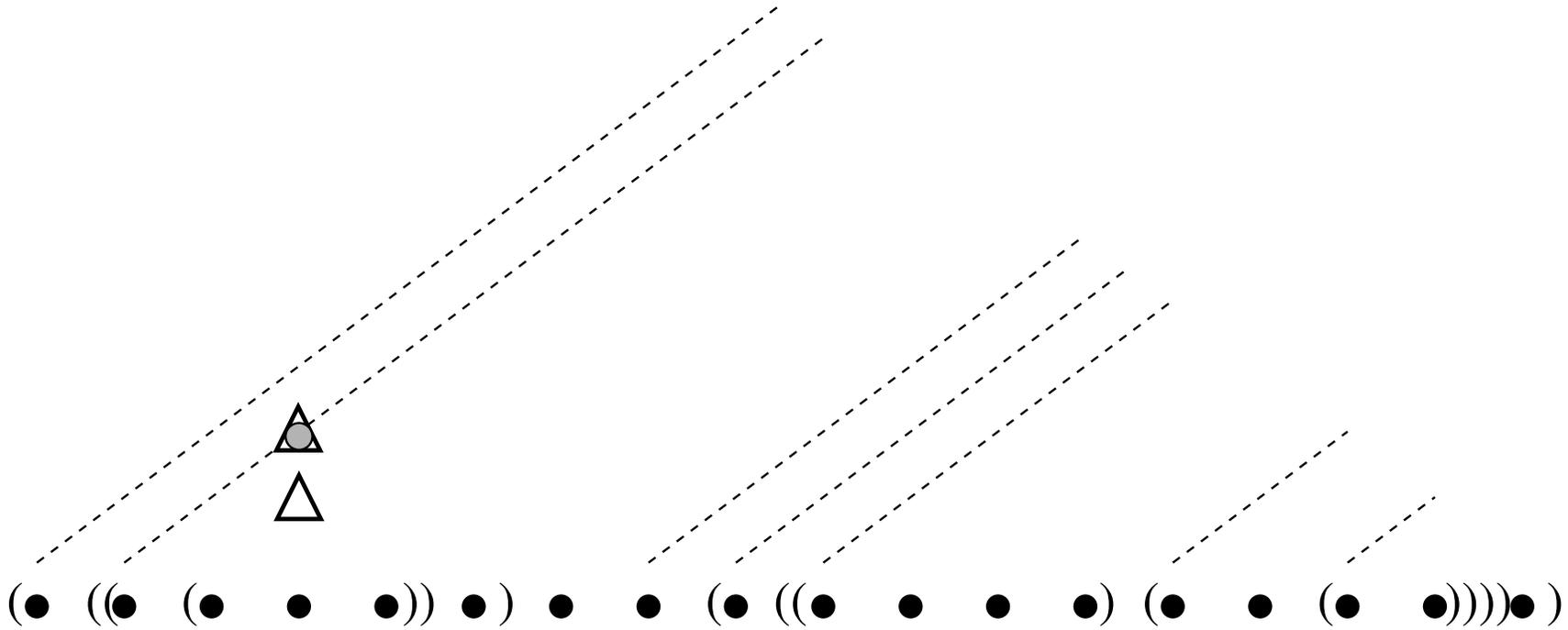
# Filtering-Ranking Strategy



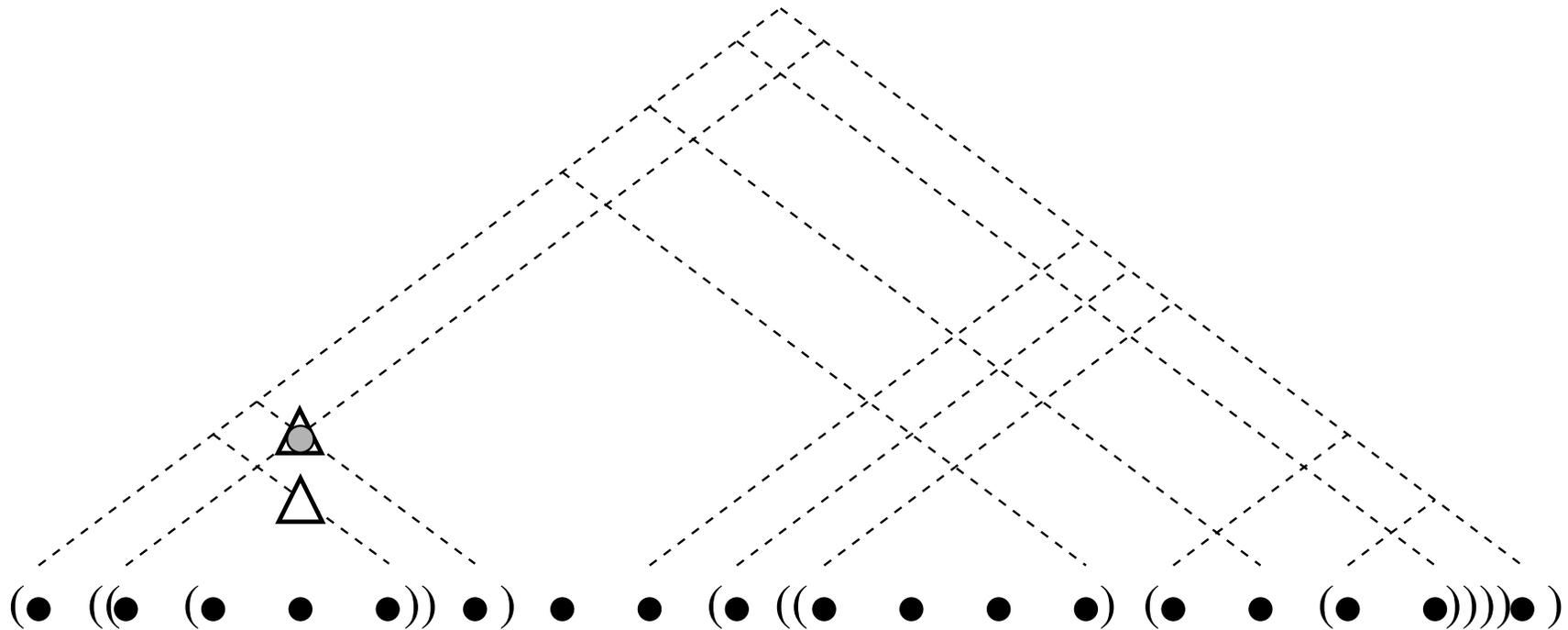
# Filtering-Ranking Strategy



# Filtering-Ranking Strategy



# Filtering-Ranking Strategy

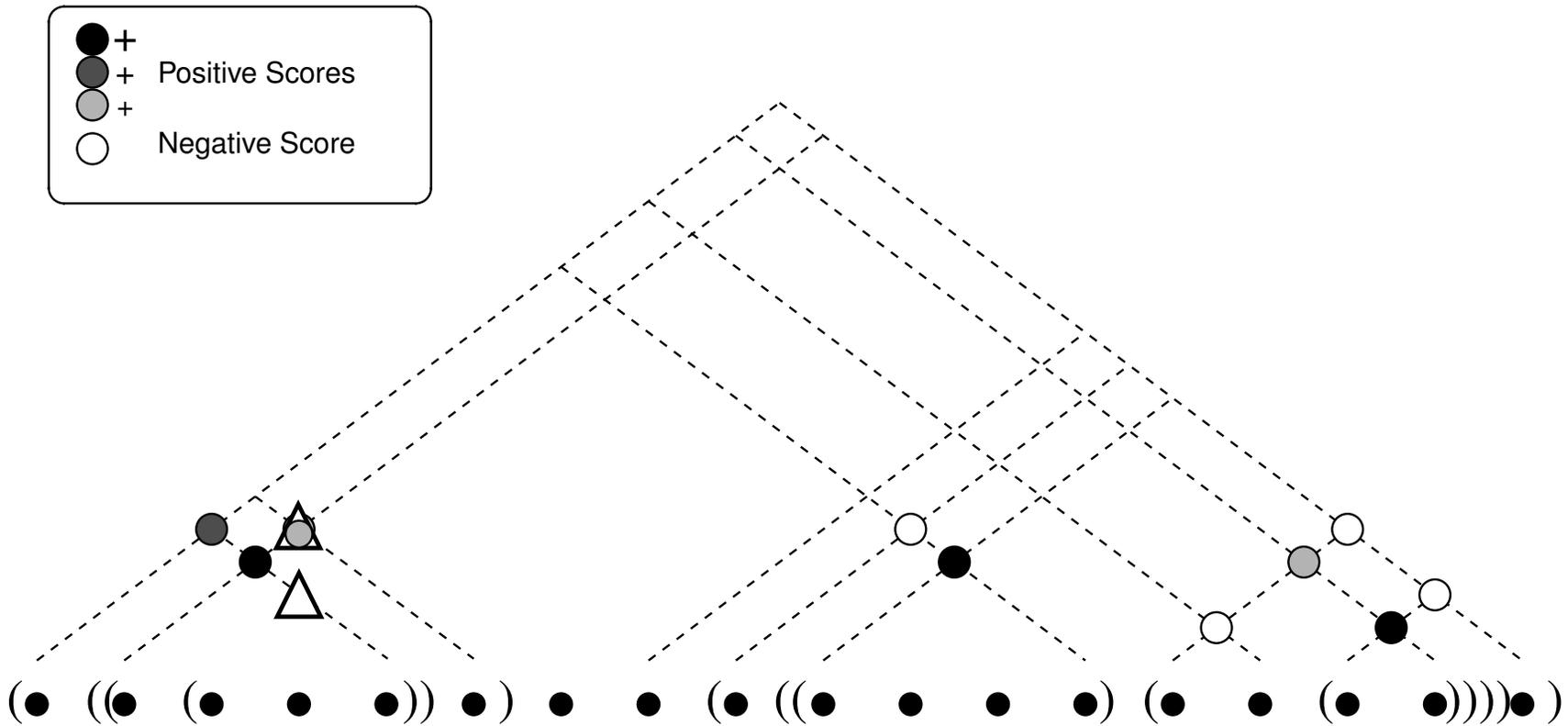








# Filtering-Ranking Strategy



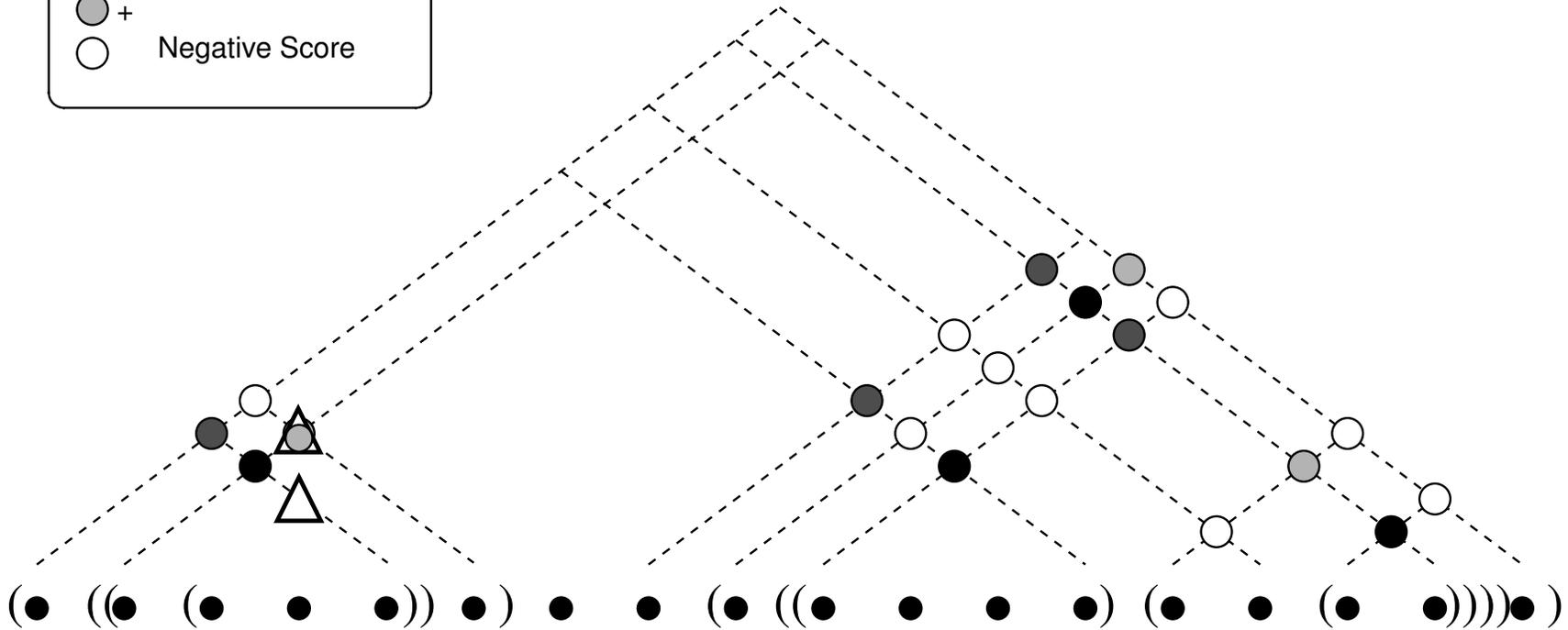
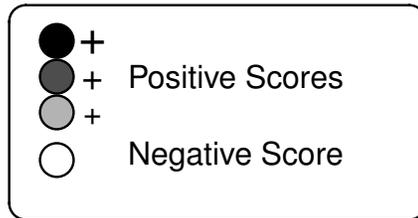








# Filtering-Ranking Strategy







## Learning a Filtering-Ranking Model

- **Goal:** Learn the functions  $(\text{start}_w, \text{end}_w, \text{score}_p)$  so as to maximize the  $F_1$  measure on the recognition of phrases
- Desired behavior:
  - ★ Start-End Filters:
    - \* Do not block any correct phrase: very high recall
    - \* Block phrases that produce errors at the ranking stage
    - \* Block much incorrect phrases as possible
  - ★ Ranker:
    - \* Separate between correct/incorrect structures
    - \* Forget about filtered phrases

# Perceptron Learning at Global Level

- Following [Collins 02], we guide learning at global level:
  - ★ Do not concentrate on individual errors of the learning functions
  - ★ Instead, concentrate on errors at sentence level, after inference
- Key points:
  - ★ Mistake-driven learning, a.k.a. Perceptron
  - ★ Functions are learned together, visiting online training sentences
  - ★ Errors are propagated from sentence-level, to phrase-level, to word-level

## (parenthesis) Perceptron algorithm

- [Rosenblatt, 1958]
- Linear discriminant function,  $h_{\mathbf{w}} : \mathbb{R}^n \rightarrow \mathbb{R}$ , parameterized by a weight vector  $\mathbf{w}$
- Classification rule:  $h_{\mathbf{w}}(\mathbf{x}) = \text{sign}(\mathbf{w} \cdot \mathbf{x}) = \hat{y}$
- On-line error-driven learning algorithm
- Additive updating rule: promotion/demotion updates

## (parenthesis) Perceptron algorithm

Training set  $S = \{(x_i, y_i)\}_{i=1}^n$  with  $y_i \in \{0, 1\}$

**Initialization:** set parameters  $\mathbf{w} = 0$

**repeat** for  $N$  epochs

**for**  $i = 1 \dots n$

$\hat{y} = \text{sign}(\mathbf{w} \cdot \Phi(x_i))$

**if**  $(y_i \neq \hat{y})$  **then**  $\mathbf{w} = \mathbf{w} + y_i \Phi(x_i)$  **end-if**

**end-for**

**end-repeat**

**output**( $\mathbf{w}$ )

- If data is linearly separable Perceptron converges (Novikoff): positive margin on the training set
- Extensions: dual perceptron + kernels + voted perceptron + large margin

# Filtering-Ranking Perceptron

- Configuration:
  - ★ Feature extraction functions (given):  $\phi_w, \phi_p$
  - ★ Weight vectors (learned):  $\mathbf{w}_S, \mathbf{w}_E, \mathbf{w}_p$
- Algorithm: visit online sentence-structure pairs  $(x, y)$ :
  1. Infer the best phrase structure  $\hat{y}$  for  $x$
  2. Identify errors and provide feedback to weight vectors.

We consider only errors at global level, comparing  $y$  and  $\hat{y}$ :

    - ★ Missed Phrases (those in  $y \setminus \hat{y}$ )
    - ★ Over-predicted Phrases (those in  $\hat{y} \setminus y$ )

# FR-Perceptron: Feedback on Missed phrases

If a phrase  $(s, e)_k$  is missed, do **promotion** updates:

- if word  $s$  is not positive start for  $k$ :

$$\mathbf{w}_S = \mathbf{w}_S + \phi_w(x, s, k)$$

- if word  $e$  is not positive end for  $k$ :

$$\mathbf{w}_E = \mathbf{w}_E + \phi_w(x, e, k)$$

- if  $(s, e)_k$  passes the filter ( $s/e$  are positive start/end for  $k$ ):

$$\mathbf{w}_P = \mathbf{w}_P + \phi_p(x, y, (s, e)_k)$$

# FR-Perceptron: Feedback on Over-Predicted phrases

If a phrase  $(s, e)_k$  is over-predicted, do **demotion** updates:

- Give feedback to the ranker:

$$\mathbf{w}_p = \mathbf{w}_p - \phi_p(x, y, (s, e)_k)$$

- If word  $s$  is not a correct start for  $k$ :

$$\mathbf{w}_S = \mathbf{w}_S - \phi_w(x, s, k)$$

- If word  $e$  is not a correct end for  $k$ :

$$\mathbf{w}_E = \mathbf{w}_E - \phi_w(x, e, k)$$











# Empirical validation of FR-Perceptron

- We perform a number of experiments to validate the behavior of FR-Perceptron
- Problem: **Clause Identification**, following CoNLL-2001 Shared Task:
  - ★ One type of phrases: clauses
  - ★ Hierarchical Structure
  - ★ Training:  $\sim 9,000$  sentences,  $\sim 25,000$  clauses
  - ★ Test:  $\sim 1,700$  sentences,  $\sim 4,900$  clauses

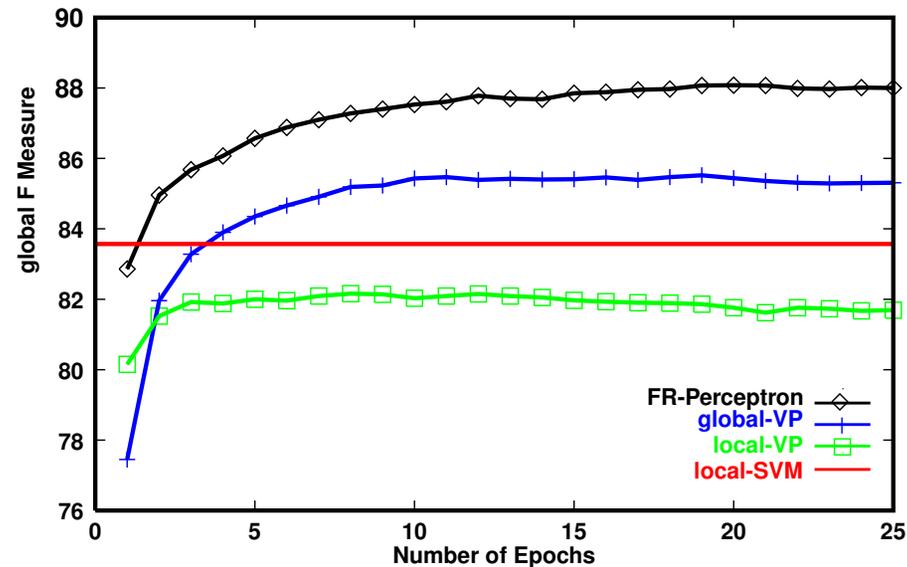
## Empirical validation of FR-Perceptron

We compare four training strategies for the Filtering-Ranking model:

	<b>type</b>	<b><math>w</math>'s trained</b>	<b>R on F</b>	<b>penalty wrt.</b>
<b>local-VP</b>	VP	separately	no	binary sign
<b>local-SVM</b>	SVM	separately	no	binary sign
<b>global-VP</b>	VP	together	yes	binary sign
<b>FR-Perceptron</b>	VP	together	yes	arg max

# Empirical validation of FR-Perceptron

## Overall Results



- Global training strategies perform better than local strategies
- Feedback after inference trains more effectively the recognizer

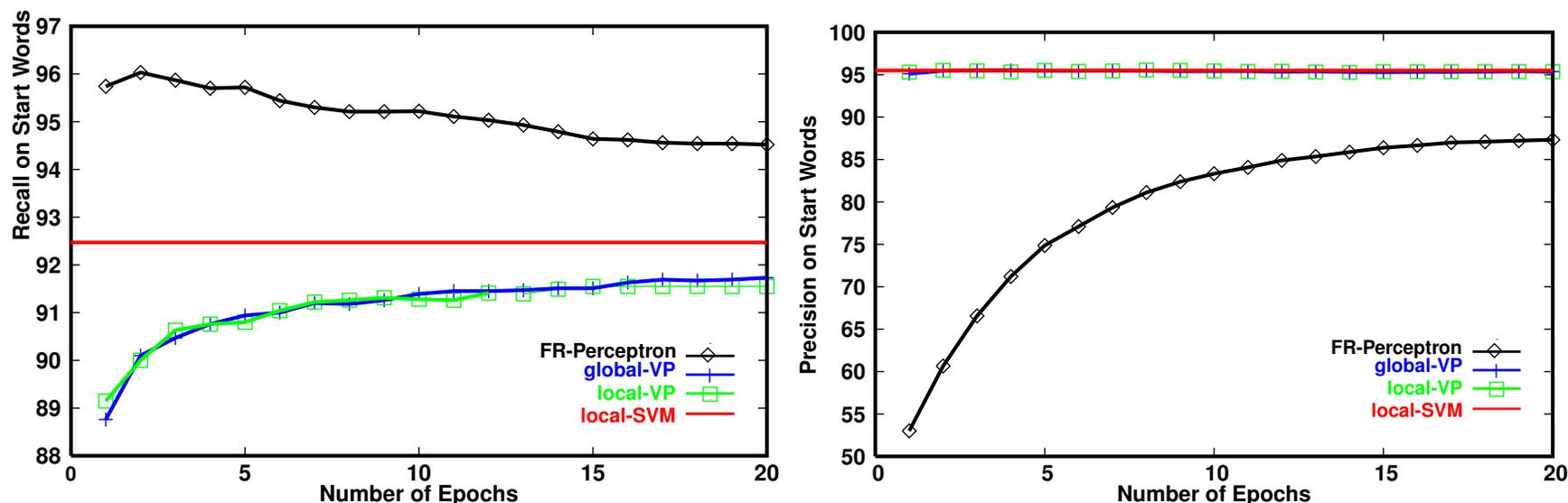
# Empirical validation of FR-Perceptron Behavior of the Start-End Filter

We look at the performance of Start-End functions:

- Precision/Recall of Start-End
- How much the phrase space is reduced?
- What is the maximum achievable  $F_1$  after the Filter?

# Empirical validation of FR-Perceptron

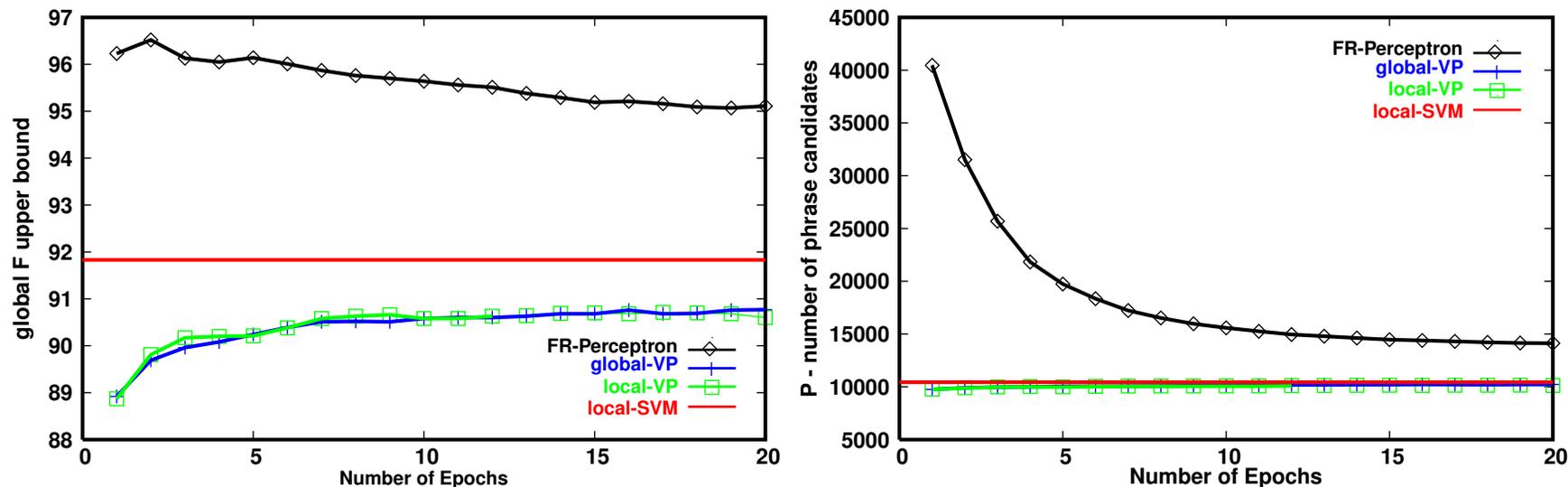
## Recall/Precision on Start words



- FR-Perceptron favors recall, others favor precision
- On End words, the same behavior is observed

# Experiments on Clause Identification

## Upper Bound $F_1$ /Explored Phrases

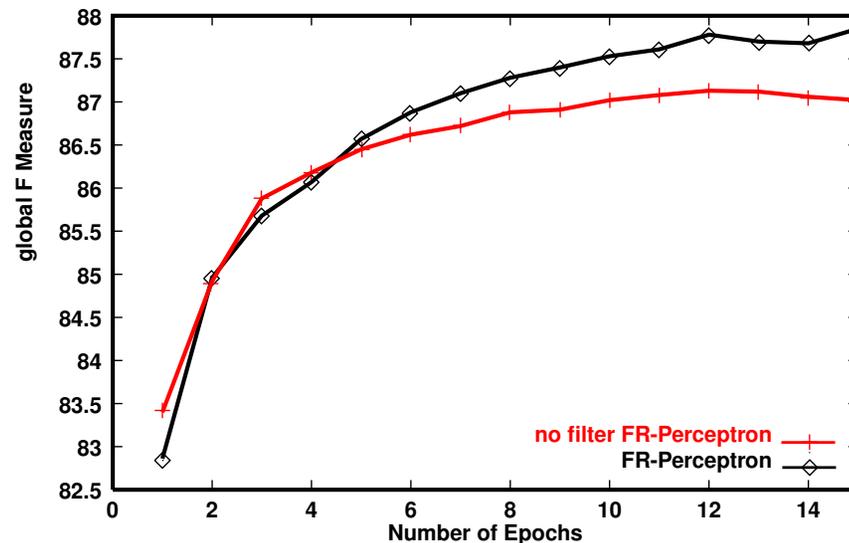


- FR-Perceptron maintains a high upper-bound  $F_1$  for the ranking layer (left), and reduces the space of explored phrases (right)
- Other methods are not sensitive to F-R interactions

# Empirical validation of FR-Perceptron

## Does the Filter help in performance?

- We train the architecture without the Filter ( $UB-F_1 = 100\%$ ):



- Filtering favors not only efficiency, but also global accuracy

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## (Reranking) A reminder of the learning setting

- $\mathcal{X}$  is a set of possible inputs
- $\mathcal{Y}$  is a set of possible outputs
- A **training set**  $S = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$ , where each  $(x_i, y_i) \in \mathcal{X} \times \mathcal{Y}$
- We assume that  $S$  is generated i.i.d. from an unknown distribution  $\mathcal{D}$  over  $\mathcal{X} \times \mathcal{Y}$
- **Goal** is to learn a hypothesis function  $F : \mathcal{X} \rightarrow \mathcal{Y}$ , that minimizes error on the entire distribution  $\mathcal{D}$
- E.g., each  $x_i$  is a sentence, each  $y_i$  is a gold-standard parse

# Reranking: Setting

- Three components:
  - ★ **GEN** is a function from a string to a set of candidates
  - ★  **$\Phi$**  maps a candidate to a feature vector
  - ★ **score( $\Phi, x, \hat{y}$ )** a function that scores the appropriateness of candidate solution  $\hat{y}$  for input example  $x$ .
- $F$  is of the form:  $F(x) = \arg \max_{\hat{y} \in \text{GEN}(x)} \text{score}(\Phi, x, \hat{y})$
- For linear classifiers:  $F(x) = \arg \max_{\hat{y} \in \text{GEN}(x)} \Phi(x, \hat{y}) \cdot \mathbf{w}$ , where  $\mathbf{w}$  is a parameter vector.

**I.e., Choose the highest scoring tree as the most plausible structure**

## Reranking: Setting

- In reranking the function **GEN** gives a set of explicit  $\hat{y}$  candidates for each example  $x$ , e.g., the list of  $n$ -best parses for a sentence  $x$  produced by a statistical parser.
- Goal of learning:
  - ★ Given  $\{(x_i, y_i)\}_{i=1}^n$ , **GEN**,  $\Phi$
  - ★ How to set **w**?  
to make  $\Phi(x, y) \cdot \mathbf{w} \geq \Phi(x, \hat{y}) \cdot \mathbf{w}$ , for all candidate solutions  $\hat{y}$  produced by **GEN**
- Reranking is an instance of **metalearning**

## Reranking: training algorithms

- Several algorithms exist for the ranking problem, as variants of the standard learning algorithms: perceptron, boosting, SVMs, etc.
- Instead of correct classification, the learning constraint imposed by ranking (and to be considered in the objective function) is:

$$\forall \hat{y} \in GEN(x), \hat{y} \neq y : \text{score}(\Phi, x, y) > \text{score}(\Phi, x, \hat{y})$$

# Reranking: perceptron learning

[Collins and Duffy, 2002]

$S = \{(x_i, y_i)\}_{i=1}^n$ ; assume  $GEN(x_i) = \{y_{i1}, \dots, y_{in_i}\}$

**Initialization:** set parameters  $\mathbf{w} = 0$

**repeat** for  $N$  epochs

**for**  $i = 1 \dots n$

$j = \arg \max_{j=1 \dots n_i} \Phi(x_i, y_{ij}) \cdot \mathbf{w}$

**if**  $(y_i \neq y_{ij})$  **then**  $\mathbf{w} = \mathbf{w} + \Phi(x_i, y) - \Phi(x_i, y_{ij})$

**end-for**

**end-repeat**

**output:**  $\mathbf{w}$

- A simple extension to dual perceptron exists: kernels and voted perceptron can be used

# Reranking: Max-margin learning

[Bartlett et al., 2004]

- An iterative algorithm for training a ranking function (based on EG, Exponentiated Gradient optimization)
- Learning bias is to maximize the margin of the training set (SVM-like)

# Reranking: Max-margin learning

[Bartlett et al., 2004]

- An iterative algorithm for training a ranking function (based on EG, Exponentiated Gradient optimization)
- Learning bias is to maximize the margin of the training set (SVM-like)
- Specific loss functions can be set
- The algorithm converges to the exact solution
- See slides from Michael Collins' presentation at CoNLL-2006 (PDF file)

# Reranking

## A couple of technical and practical questions

- How to generate the training set for learning the ranker?
- What if the gold standard  $y$  is not among the candidates  $\hat{y}$ ?

## Reranking: applications

- Parse reranking  
[Johnson et al, 1999; Collins, 2000; Shen, Sarkar and Joshi, 2003; Riezler et al., 2004; Charniak and Johnson, 2005; Collins and Koo, 2005]
- Reranking for Machine Translation  
[Shen, Sarkar and Och, 2004; Shen and Joshi, 2005]
- Semantic Role Labeling [Haghighi et al., 2005]

## Reranking: pros & cons

### Pros

- Rich complex features can be designed on the complete structure. This may facilitate capturing long-distance dependencies among substructures
- Simple (and efficient) learning algorithms exist

### Cons

- Dependence on the base linguistic processor implementing **GEN**. High recall must be ensured with a few candidates. The theoretical upper bounds on task accuracy can be substantially lowered
- The two-step procedure can make the system less efficient

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    - \* Perceptron Global Learning
    - \* Max-margin learning algorithms
    - \* Conditional Random Fields
- ...

## Structure learning with global linear models

- Same setting as before but considering all possible candidates
- In this case the size of  $GEN(x)$  is exponential w.r.t. the length of  $x$  and cannot be treated explicitly
- Dynamic programming is used to perform inference (i.e., the calculation of  $\arg \max$ ) —sometimes inference is only approximate.  $\Phi(x, \hat{y})$  and  $L(x, y, \hat{y})$  have to decompose consistently with the inference algorithm. Local versions of feature-codification and loss functions are considered  $\phi(x, r)$  and  $l(x, y, r)$ .
- Learning and inference are usually coupled by using on-line learning algorithms

# Structure learning with global linear models

- Several models/training-algorithms exist:
  - ★ Perceptron global learning for a sequential Markov model [Collins 2002]
  - ★ **Max-Margin Markov Networks** [Taskar et al., 2003] and an EG-based training algorithm [Bartlett, et al., 2004]
  - ★ **Hidden Markov Support Vector Machines** [Altun et al., 2003] and a training algorithm [Tsochantaridis et al., 2004] implemented and publicly available at the *SVMstruct* suite.
  - ★ Probabilistic approaches: **Conditional Random Fields** [Lafferty, et al., 2001; Sha and Pereira, 2002]

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    - \* **Perceptron Global Learning**
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- ...

# Learning a global ranker: Perceptron training

[Collins, 2002]

- Presents a Perceptron learning algorithm for discriminatively training a Markov-based tagger
- Global ranking approach: all candidate solutions are considered
- Instantiation on sequential labeling problems using Viterbi-like inference
- Improved results, on POS tagging and NP chunking, compared to a MaxEnt tagger
- Best paper award at EMNLP-2002

# (recall) Reranking: perceptron learning

[Collins and Duffy, 2002]

$S = \{(x_i, y_i)\}_{i=1}^n$ ; assume  $GEN(x_i) = \{y_{i1}, \dots, y_{in_i}\}$

**Initialization:** set parameters  $\mathbf{w} = 0$

**repeat** for  $N$  epochs

**for**  $i = 1 \dots n$

$j = \arg \max_{j=1 \dots n_i} \Phi(x_i, y_{ij}) \cdot \mathbf{w}$

**if**  $(y_i \neq y_{ij})$  **then**  $\mathbf{w} = \mathbf{w} + \Phi(x_i, y) - \Phi(x_i, y_{ij})$

**end-for**

**end-repeat**

**output:**  $\mathbf{w}$

- A simple extension to dual perceptron exists: kernels and voted perceptron can be used

# Learning a global ranker: Perceptron training

[Collins, 2002]

**Initialization:** set parameters  $\mathbf{w} = 0$

**repeat** for  $N$  epochs

**for**  $i = 1 \dots n$

$\hat{y}_i = \arg \max_{y \in GEN(x_i)} \Phi(x_i, y) \cdot \mathbf{w}$

**if**  $(y_i \neq \hat{y}_i)$  **then**  $\mathbf{w} = \mathbf{w} + \Phi(x_i, y) - \Phi(x_i, \hat{y}_i)$

**end-for**

**end-repeat**

**output:**  $\mathbf{w}$

- The general algorithm is the same, but now GEN generates all possible solutions
- $|GEN(x)|$  is exponential in the length of  $x$
- The implementation cannot list all  $y \in GEN(x_i)$  explicitly

# Decomposition: Local/Global

[Collins, 2002]

- Restricted to sequential labeling problems:
  - ★  $x = x_1, x_2, \dots, x_n = x_{[1:n]} = \mathbf{x}$
  - ★  $y = t_1, t_2, \dots, t_n = t_{[1:n]} = \mathbf{t}$
- “arg max” inference is performed using Viterbi search
- Each example  $(\mathbf{x}, \mathbf{t})$  is decomposed into a finite set of parts  
 $R(\mathbf{x}, \mathbf{t}) \subseteq \mathcal{R}$ .
- In a trigram based tagger (linear number of parts):  
$$R(\mathbf{x}, \mathbf{t}) = \bigcup_{i=1}^n r_i = \bigcup_{i=1}^n \langle t_i, t_{i-1}, t_{i-2}, \mathbf{x}, i \rangle$$

## Decomposition: Feature Vectors

[Collins, 2002]

- Local feature-vector representation:

$$\phi(r_i) = \phi(\langle t_i, t_{i-1}, t_{i-2}, \mathbf{x}, i \rangle) = (\phi_1(r_i), \phi_2(r_i), \dots, \phi_d(r_i))$$

with local feature functions  $\phi_j(r_i)$ , one for each dimension

# Decomposition: Feature Vectors

[Collins, 2002]

- Local feature-vector representation:

$\phi(r_i) = \phi(\langle t_i, t_{i-1}, t_{i-2}, \mathbf{x}, i \rangle) = (\phi_1(r_i), \phi_2(r_i), \dots, \phi_d(r_i))$   
with local feature functions  $\phi_j(r_i)$ , one for each dimension

- The global feature-vector representation is the sum of local representations:

$$\Phi(x_{[1:n]}, t_{[1:n]}) = \sum_{i=1}^n \phi(r_i)$$

## Decomposition: Feature Vectors

[Collins, 2002]

- Local feature-vector representation:

$\phi(r_i) = \phi(\langle t_i, t_{i-1}, t_{i-2}, \mathbf{x}, i \rangle) = (\phi_1(r_i), \phi_2(r_i), \dots, \phi_d(r_i))$   
with local feature functions  $\phi_j(r_i)$ , one for each dimension

- The global feature-vector representation is the sum of local representations:

$$\Phi(x_{[1:n]}, t_{[1:n]}) = \sum_{i=1}^n \phi(r_i)$$

- If local features are indicator functions, then the global features will be “counts”, e.g:

$$\phi_{1000}(r_i) = \begin{cases} 1 & \text{if current word } x_i \text{ is “the” and } t_i = \text{DT} \\ 0 & \text{otherwise} \end{cases}$$

Then,  $\Phi_{1000}(x_{[1:n]}, t_{[1:n]}) = \sum_{i=1}^n \phi_{1000}(r_i)$  is the number of times “the” is seen tagged as DT in the example  $(x_{[1:n]}, t_{[1:n]})$

# Learning a global ranker: objective function

[Collins, 2002]

- $F(x_{[1:n]}) = \hat{t}_{[1:n]} = \arg \max_{t_{[1:n]}} \text{score}(x_{[1:n]}, t_{[1:n]})$

# Learning a global ranker: objective function

[Collins, 2002]

- $$F(x_{[1:n]}) = \hat{t}_{[1:n]} = \arg \max_{t_{[1:n]}} \text{score}(x_{[1:n]}, t_{[1:n]})$$
- $$\text{score}(x_{[1:n]}, t_{[1:n]}) = \sum_{r \in R(\mathbf{x}, \mathbf{t})} \text{score}(r) =$$

$$\sum_{i=1}^n \text{score}(r_i) = \sum_{i=1}^n \mathbf{w} \cdot \phi(r_i)$$

$$\sum_{i=1}^n \sum_{j=1}^d w_j \cdot \phi_j(r_i) = \sum_{j=1}^d \sum_{i=1}^n w_j \cdot \phi_j(r_i) =$$

$$\sum_{j=1}^d w_j \cdot \Phi_j(x_{[1:n]}, t_{[1:n]}) = \mathbf{w} \cdot \Phi(x_{[1:n]}, t_{[1:n]})$$
- $\mathbf{w} = (w_1, \dots, w_d)$  is the weight vector

# Learning a global ranker: Perceptron training

[Collins, 2002]

Training set:  $S = \{(x_{[1:n_i]}^i, t_{[1:n_i]}^i)\}_{i=1}^n$

**Initialization:** set parameters  $\mathbf{w} = \mathbf{0}$

**repeat** for  $N$  epochs

**for**  $i = 1 \dots n$

Use the Viterbi algorithm to compute:

$$\hat{t}_{[1:n_i]} = \arg \max_{t_{[1:n_i]}} \mathbf{w} \cdot \Phi(x_{[1:n_i]}^i, t_{[1:n_i]})$$

This search makes use of the decomposition of the problem:  $r_i, \phi()$

**if**  $(\hat{t}_{[1:n_i]} \neq t_{[1:n_i]}^i)$  **then**

$$\mathbf{w} = \mathbf{w} + \Phi(x_{[1:n_i]}^i, t_{[1:n_i]}^i) - \Phi(x_{[1:n_i]}^i, \hat{t}_{[1:n_i]})$$

**end-for**

**end-repeat**

**output:**  $\mathbf{w}$

# Learning a global ranker: Perceptron training

[Collins, 2002]

- Use of the voted perceptron with “averaged” parameters
- Application to POS tagging and NP chunking
- Comparison to a MaxEnt-based tagger (MEMM with exactly the same features)
- Simple approach with 11.9% and 5.1% relative error reduction, respectively
- Best paper award at EMNLP-2002

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# Max-margin Training Algorithms (I)

[Bartlett et al., 2004]

- Same algorithm used for ranking. It generalizes well to work with all solutions when the problem decomposes naturally in parts (labeled sequences, parsing trees, etc.)
- Iterative algorithm with EG (multiplicative) updates
- Works on the setting of **Max-Margin Markov Networks**  
[Taskar et al., 2003]
- Presented as a batch learning algorithm but the online version can also be derived
- See Michael Collins' slides from CoNLL-2006 (PDF file)

## Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

- Works on the alternative setting of **Hidden Markov Support Vector Machines** [Altun et al., 2003]
- Implemented and publicly available with several instantiations at the SVMstruct site:  
`www.cs.cornell.edu/People/tj/svm\_light/svm\_struct.html`

# Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

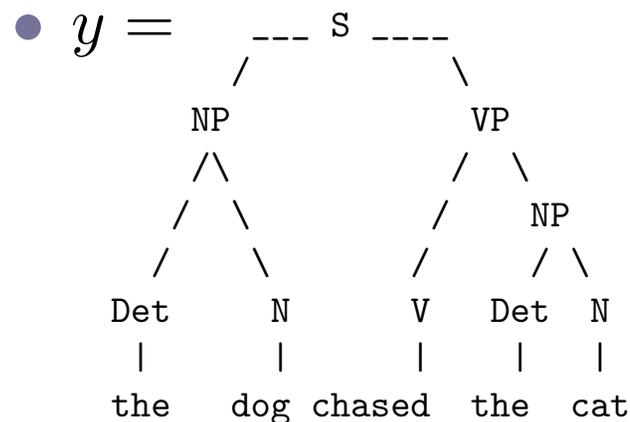
- Structured output space  $\mathcal{Y}$  (e.g., parsing trees)
- Discriminant function  $F : \mathcal{X} \times \mathcal{Y} \longrightarrow \mathbb{R}$
- Objective function:  $f(x; \mathbf{w}) = \arg \max_{y \in \mathcal{Y}} F(x, y; \mathbf{w})$
- $F$  restricted to be a linear function:  $F(x, y; \mathbf{w}) = \Phi(x, y) \cdot \mathbf{w}$
- **For instance**, if the problem is parsing (grammar learning), with the PCFG paradigm in mind,  $F(x, y; \mathbf{w})$  gives the score of the  $y$  parse as the sum of scores of the productions involved in the derivation of the parse tree.

# Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

- This can be done by setting the representation function  $\Phi(x, y)$  to return a histogram vector with one position for each production rule and its value as the number of times applied in  $y$ .

- $x =$  “the dog chased the cat”



# Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

rule	$\mathbf{w}_{PCFG}$	$\Phi(x, y)$	$\mathbf{w}_{DL}$
$S \longrightarrow NP VP$	0.54	1	3.45
$S \longrightarrow NP$	0.01	0	-0.01
$NP \longrightarrow Det N$	0.37	2	8.70
$VP \longrightarrow V NP$	0.45	1	2.27
...	...	...	...
$Det \longrightarrow the$	0.79	2	3.12
$N \longrightarrow dog$	0.04	1	0.23
$N \longrightarrow cat$	0.03	1	0.25
$N \longrightarrow mouse$	0.06	0	0.34
$V \longrightarrow chased$	0.01	1	0.18
...	...	...	...

$$F(x, y; \mathbf{w}_{DL}) = \mathbf{w}_{DL} \cdot \Phi(x, y) = 1 * 3.45 + 2 * 8.70 + \dots + 1 * 0.18 = 30.02$$

## Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

- **Goal** of the learning: acquiring the weight vector  $\mathbf{w}_{DL}$  so that performance of  $f(x; \mathbf{w}_{DL})$  is maximized on the training set.
- New definition of *margin*: difference between score given to the pair  $(x_i, y_i)$  and the best scored  $(x_i, y)$ , for  $y \in \mathcal{Y}, y \neq y_i$
- Standard SVM (soft-margin) learning can be stated:

$$\min_{\mathbf{w}, \xi} \frac{1}{2} \|\mathbf{w}\|^2 + \frac{C}{n} \sum_{i=1}^n \xi_i, \text{ subject to } \forall i \xi_i \geq 0 \text{ and}$$

$$\forall i \forall y \neq y_i \in \mathcal{Y} : \mathbf{w} \cdot \Phi(x_i, y_i) - \mathbf{w} \cdot \Phi(x_i, y) \geq 1 - \xi_i$$

...but, there is an exponential number of constraints:

# Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

- Loss functions other than 0/1-loss:
  - ★ Scaling slack variables with the inverse loss (= multiplying the violation by the loss). New form of constraints:

$$\forall i \forall y \neq y_i \in \mathcal{Y} : \mathbf{w} \cdot \Phi(x_i, y_i) - \mathbf{w} \cdot \Phi(x_i, y) \geq 1 - \frac{\xi_i}{L(x_i, y_i, y)}$$

- ★ Re-scale the margin.

$$\forall i \forall y \neq y_i \in \mathcal{Y} : \mathbf{w} \cdot \Phi(x_i, y_i) - \mathbf{w} \cdot \Phi(x_i, y) \geq L(x_i, y_i, y) - \xi_i$$

# Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

Input:  $S = \{(x_i, y_i)\}_{i=1}^n, C, \epsilon$

**Initialization:**  $S_i \leftarrow \emptyset$ , for all  $i = 1, \dots, n$

**repeat**

**for**  $i = 1, \dots, n$  **do**

Set up cost function:

$$H(y) = (1 - (\mathbf{w} \cdot \Phi(x_i, y_i) - \mathbf{w} \cdot \Phi(x_i, y))) * L(x_i, y_i, y)$$

$$\text{where } \mathbf{w} = \sum_j \sum_{y \in S_j} \alpha_{j,y} (\Phi(x_j, y_j) - \Phi(x_j, y))$$

$$\text{compute } \hat{y} = \arg \max_{y \in \mathcal{Y}} H(y)$$

$$\xi_i = \max\{0, \max_{y \in S_i} H(y)\}$$

**if**  $H(\hat{y}) > \xi_i + \epsilon$  **then**

$$S_i \leftarrow S_i \cup \{\hat{y}\}$$

$\alpha_S \leftarrow$  optimize dual over  $S, S = \cup_i S_i$  **end-if**

**end-for**

**until** no  $S_i$  has changed during iteration

## Max-margin Training Algorithms (II)

[Tsochantaridis et al., 2004]

- The previous on-line training algorithm converges in polynomial time. There always exist a polynomially-sized subset of constraints so that the corresponding solution fulfills the full set of constraints with a precision of  $\epsilon$ .
- An instantiation of the algorithm for a concrete problem must define:  $\Phi(x, y)$ ,  $L(x, y, \hat{y})$ , and the “arg max” search in the calculation of  $\hat{y}$ .
- At the SVMstruct website one can find several instantiations of the algorithm, including inference algorithms for HMM-like sequential tagging and PCFG-based parsing.

# Course Overview

- ...
- **Statistical Learning for Structured NLP**
  - ★ Generative models
  - ★ The Learning and Inference paradigm
  - ★ Re-ranking candidate solutions
  - ★ **Structure learning with global linear models**
    - \* Perceptron Global Learning
    - \* Max-margin learning algorithms
    - \* **Conditional Random Fields**
- ...

# Probabilistic Global Models: CRFs

## Motivation

- Problems of MEMMs and variants of chained sequential inference schemes with local classifiers [**Punyakanok et al., 2002; Giménez and Màrquez, 2003; Kudo and Matsumoto, 2001**]
  - ★ Training is local, without taking into account loss functions derived from global performance measures
  - ★ *Label bias problem*

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  - ★ *Label bias problem*
- The problems of generative models are also well-known (e.g., they cannot use arbitrary representations on the inputs)
- Conditional Random Fields [**Lafferty, McCallum, and Pereira 2001**] try to get the best of both worlds without any of the shortcomings

# Conditional Random Fields

- CRF is a conditional model  $p(\mathbf{y}|\mathbf{x})$
- It defines a **single** log-linear distribution over label structure ( $\mathbf{y}$ ) given the observations ( $\mathbf{x}$ )
- CRF can be viewed as an **undirected graphical model** or Markov random field globally conditioned on  $\mathbf{x}$

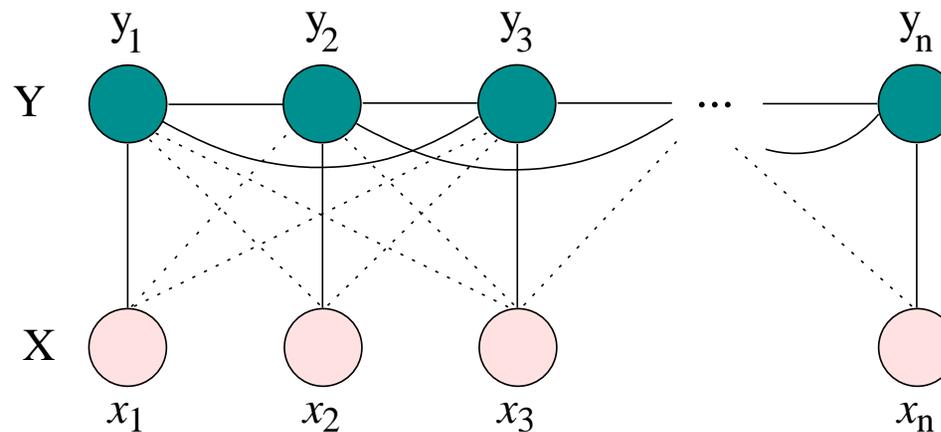
## Conditional Random Fields

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- CRF can be viewed as an **undirected graphical model** or Markov random field globally conditioned on  $\mathbf{x}$
- A **graphical model** is a family of probability distributions that factorize according to an underlying graph.
- Represent the distribution over a large number of random variables by a product of local functions that each depend only on a small number of variables

# Conditional Random Fields

- The most common instantiation is the Linear-chain CRF model

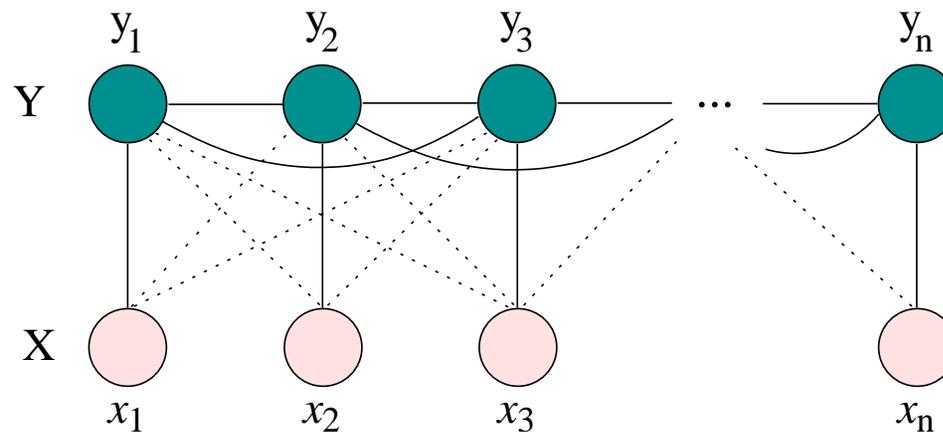
Graphical Model of a linear chain CRF



# Conditional Random Fields

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Graphical Model of a linear chain CRF



- Two types of dependencies:  $(y_{i-1}, y_i)$  and  $(\mathbf{x}, y_i)$
- Training and decoding are efficient
- Direct application to all (NLP) sequential labeling problems

## Linear-chain CRFs

- $p(\mathbf{y}|\mathbf{x})$  factorize in a normalized product of **potential functions** of the form: 
$$\exp\left(\sum_j \lambda_j t_j(y_{i-1}, y_i, \mathbf{x}, i) + \sum_k \mu_k s_k(y_i, \mathbf{x}, i)\right)$$
- $t_j(y_{i-1}, y_i, \mathbf{x}, i)$  is a **transition** feature function
- $s_k(y_i, \mathbf{x}, i)$  is a **state** feature function
- $\lambda_j$  and  $\mu_k$  are the parameters to be estimated from training data

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$t_j$  and  $s_k$  are indicator functions. Example:

$$t_j(y_{i-1}, y_i, \mathbf{x}, i) = \begin{cases} 1 & \text{if } y_{i-1} = \text{IN and } y_i = \text{NNP and } x_i = \text{“September”} \\ 0 & \text{otherwise} \end{cases}$$

## Linear-chain CRFs

- Expressing  $t_j$  and  $s_k$  as a general  $f_j(y_{i-1}, y_i, \mathbf{x}, i)$
- ...and considering  $F_j(\mathbf{y}, \mathbf{x}) = \sum_{i=1}^n f_j(y_{i-1}, y_i, \mathbf{x}, i)$
- The probability of a label sequence  $\mathbf{y}$  given an observation sequence  $\mathbf{x}$  is

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$$p(\mathbf{y}|\mathbf{x}, \lambda) = \frac{1}{Z(\mathbf{x})} \exp\left(\sum_j \lambda_j F_j(\mathbf{y}, \mathbf{x})\right)$$

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- where  $Z(\mathbf{x})$  is a normalization factor
- This is a log-linear probability distribution similar to ME

## CRFs: Parameter estimation

- Optimize the *conditional log-likelihood* of  $\lambda$  on the training set
- $l(\lambda) = \sum_{i=1}^N \log p(\mathbf{x}^{(i)} | \mathbf{y}^{(i)})$
- $l(\lambda) = \sum_{i=1}^N \sum_j \lambda_j F_j(\mathbf{y}^{(i)}, \mathbf{x}^{(i)}) - \sum_{i=1}^N \log Z(\mathbf{x}^{(i)}) - \sum_j \frac{\lambda_j^2}{2\sigma^2}$
- $\frac{1}{2\sigma^2}$  is a regularization parameter
- Several methods can be used for training
- Cost:  $O(nM^2NG)$

## CRFs: inference

- Decoding:  $\mathbf{y}^* = \arg \max_{\mathbf{y}} p(\mathbf{y}|\mathbf{x}, \lambda)$
- This can be done by using variants of the Viterbi dynamic programming for HMMs

## CRFs: applications

- NLP: Text classification, POS tagging, chunking, named-entity recognition, semantic role labeling, etc.  
(See the survey by **[Sutton and McCallum, 2006]**)
- Bioinformatics
- Computer vision

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- **Statistical Learning for Structured NLP**
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  - ★ The Learning and Inference paradigm
  - ★ Re-ranking candidate solutions
  - ★ Structure learning with global linear models
  - ★ **Conclusions**
- ...

## Conclusions

- We have reviewed most of the statistical learning techniques for structured Natural Language Processing
  - ★ Generative Models
  - ★ Learning and Inference paradigm
    - \* Examples of using classifiers in chained decisions for sequential and hierarchical annotation: HMM-with-classifiers, PMMs, MEMMs, etc.)
    - \* Inference as constraint satisfaction
    - \* The FR-Perceptron algorithm
  - ★ Reranking global candidates
  - ★ Discriminative global learning (MMMN, HMSVM, CRFs, etc.)

# Conclusions

## Opportunities

- Exploit linguistically rich features and complex dependencies
- Surpass the traditional NLP architecture of a pipeline of processors
- Approach multitask learning
- Design intermediate structures to learn, which are optimal for the global performance

# Conclusions

## Current shortcomings

- No single approach is best in all aspects:
  - ★ Generative models can be a good and very efficient alternative in simple problems, but they are not extensible (w.r.t topology and features)
  - ★ MEMMs and other inference schemes with local classifiers can work with arbitrary features but they suffer from locality problems (label bias problem). Also, dynamic inference is limiting the type of features on the output.

# Conclusions

## Current shortcomings

- No single approach is best in all aspects:
  - ★ Reranking is efficient and good for complex feature design (on the complete output candidates), but it only provides slight improvements of the performance of a base linguistic processor for the task
  - ★ Global models (MMMN, HMSVM, CRFs, etc.) overcome locality problems and obtain better results, but they are computationally very expensive. Again, the dynamic inference limits the type of features on the output

# Course Overview

- Introduction and Motivation
  - ★ NLP tasks and Learning
  - ★ NLP tools in the Web
- Machine Learning for supervised classification
  - ★ Machine learning algorithms
  - ★ Applications
- Statistical Learning for Structured NLP
- **A case study: Semantic Role Labeling**

# Semantic Role Labeling (SRL)

See the accompanying SRL tutorial from ACL-2009:

- Semantic Role Labeling: Past, Present and Future

[www.lsi.upc.edu/~lluism/tmp/SRL-tutorial-ACL-IJCNLP-2009.pdf](http://www.lsi.upc.edu/~lluism/tmp/SRL-tutorial-ACL-IJCNLP-2009.pdf)

[www.lsi.upc.edu/~lluism/tmp/SRL-tutorial-ACL-IJCNLP-2009-references.pdf](http://www.lsi.upc.edu/~lluism/tmp/SRL-tutorial-ACL-IJCNLP-2009-references.pdf)

# Course Overview

- Introduction and Motivation
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# Conclusions

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# Conclusions

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# Conclusions

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- All the attendants to the course!

**Thank you very much for your attention!**